

# Invariants of exact Lagrangian cobordisms

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# Introduction

Consider the standard contact  $(\mathbf{R}^3, \xi = \ker \alpha)$ , where  $\alpha = dz - ydx$ .

A Legendrian knot  $L \subset (\mathbf{R}^3, \xi)$  is a knot which is everywhere tangent to  $\xi$ , i.e., satisfies  $dz - ydx = 0$ .

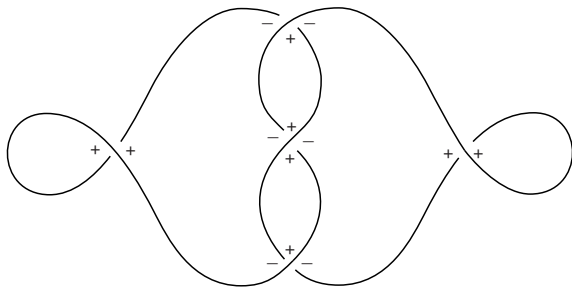


Figure: A right-handed trefoil in the  $xy$ -projection (Lagrangian projection).

# Legendrian knot invariants

Given an oriented Legendrian knot  $L$ , there are two “classical invariants”, the *Thurston-Bennequin number* and the *rotation number*. With respect to the  $xy$ -projection  $\pi$ , they are given as follows:

- 1  $tb(L)$  is the *writhe* of  $\pi(L)$ , i.e., the number of positive crossings minus the number of negative crossings.
- 2  $r(L)$  is the degree of the Gauss map (or winding number) of  $\pi(L)$ .

**Example:** For the right-handed trefoil example,  $tb(L) = 1$  and  $r(L) = 0$ .

# Lagrangian cobordisms

The *symplectization* of  $(\mathbf{R}^3, \xi)$  is  $\mathbf{R} \times \mathbf{R}^3$  with the symplectic form  $\omega = d(e^t \alpha) = e^t(dt \wedge \alpha + d\alpha)$ , where  $t$  is the first coordinate. This will be our ambient manifold for today.

## Definition

Let  $L, L'$  be Legendrian knots. A Lagrangian cobordism  $\Lambda$  from  $L$  to  $L'$  is an embedded Lagrangian surface (i.e.,  $\omega|_{\Lambda} = 0$ ) which agrees with a cylinder over  $L$  for  $t \gg 0$  and a cylinder over  $L'$  for  $t \ll 0$ .

**Remark:** A cylinder  $\mathbf{R} \times L$  over a Legendrian knot  $L$  is Lagrangian in the symplectization.

# Exact Lagrangian cobordisms

Today our Lagrangians  $\Lambda$  will be *exact*: The symplectic form  $\omega = d(e^t\alpha)$  is an exact symplectic form. By the Lagrangian condition,  $\omega|_\Lambda = d(e^t\alpha)|_\Lambda = 0$ . We say  $\Lambda$  is *exact* if  $e^t\alpha|_\Lambda = dF$ , where  $F$  is a function on  $\Lambda$ .

The exactness will be important when we discuss the TQFT properties of Lagrangian cobordisms.

# Basic Observations

## Theorem (Chantraine)

If  $\Lambda$  is a Lagrangian cobordism from  $L$  to  $L'$ , then

$$tb(L) - tb(L') = -\chi(\Lambda).$$

If  $L' = \emptyset$ , then, combining with the slice Bennequin inequality (due to Kronheimer-Mrowka/Rudolph):

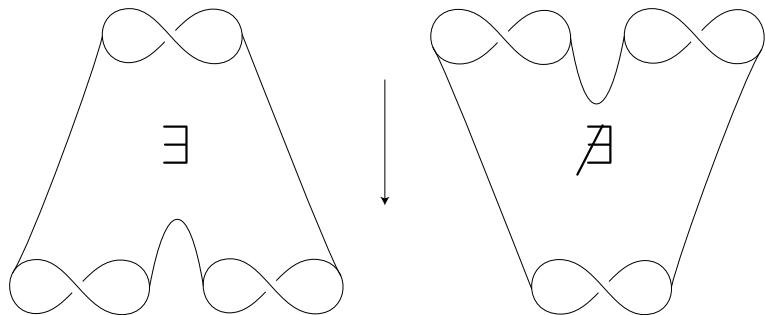
$$tb(L) \pm r(L) \leq 2g_4(L) - 1,$$

where  $g_4(L)$  is the 4-ball genus, we have:

## Corollary

If  $L$  bounds a Lagrangian surface, then  $tb(L) = 2g_4(L) - 1$  and  $r(L) = 0$ .

# Examples



**Figure:** The left-hand cobordism exists, whereas the right-hand one does not.

# Constructions

## Theorem

*There exists an exact Lagrangian cobordism for the following:*

- 1 *Legendrian isotopy from  $L$  to  $L'$ .*
- 2 *0-resolution at a contractible crossing of  $L$  in the  $xy$ -projection.*
- 3 *Capping off a  $tb = -1$  unknot with a disk.*

## Definition

*A contractible crossing of  $L$  is a crossing so that  $z_1 - z_0$  can be shrunk to zero without affecting the other crossings. (Here  $z_1$  is the  $z$ -coordinate on the upper strand and  $z_0$  is the  $z$ -coordinate on the lower strand.)*



# 0-resolution

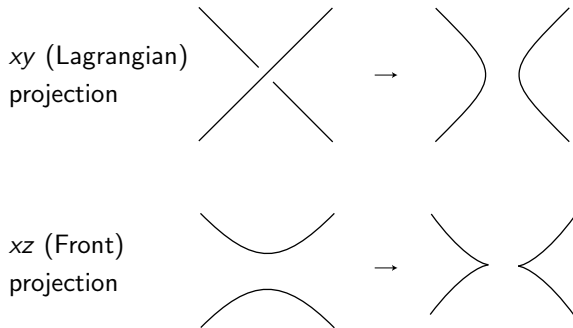


Figure: The 0-resolution.

## An example

**Example:** (Right-handed trefoil) All three crossings in the middle are contractible. Hence it is possible to 0-resolve each of the  $b_1, b_2, b_3$ , in any order.

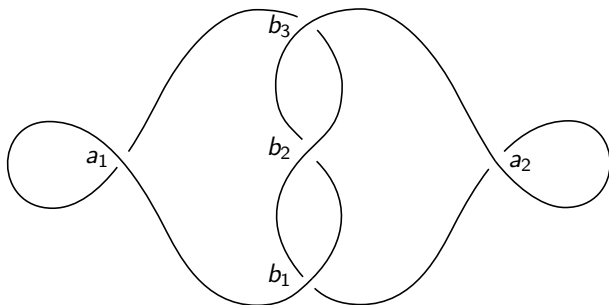


Figure: The right-handed trefoil in the  $xy$ -projection.

# Decomposability

## Definition

$\Lambda$  is decomposable if it is obtained by stacking exact Lagrangians corresponding to the following three operations given above:

- 1 Legendrian isotopy;
- 2 0-resolution;
- 3 Capping off an unknot.

## Conjecture

Every exact Lagrangian cobordism of  $L$  to  $\emptyset$  is decomposable.

# Legendrian contact homology

The combinatorial version is due independently to Chekanov and Eliashberg.

We will work over  $\mathbf{Z}/2$ -coefficients.

$\mathcal{A}(L)$  = free algebra with unit (= tensor algebra) generated by the double points of the  $xy$ -projection

The boundary map  $\partial : \mathcal{A}(L) \rightarrow \mathcal{A}(L)$  is given as follows:

$$\partial y = \sum_P w_P,$$

where the sum is over all immersed polygons  $P$  with one positive corner at  $y$  and zero or more negative corners (in the  $xy$ -projection). If the vertices of  $P$  are  $y, x_1, x_2, x_3$  in clockwise order, then  $w_P = x_1 x_2 x_3$ .

## Legendrian contact homology, continued

**Fact:**  $\partial^2 = 0$ .

We can therefore define the *Legendrian contact homology*  $HC(L)$  as the homology of  $(\mathcal{A}(L), \partial)$ .

For each Legendrian Reidemeister move  $L \rightsquigarrow L'$  (i.e., Legendrian isotopy), there exists a corresponding combinatorial chain map which induces an isomorphism  $\Phi : HC(L) \rightarrow HC(L')$ .

Recall that every Legendrian isotopy gives rise to an exact Lagrangian cobordism. The combinatorial chain maps of Chekanov arise from the corresponding exact Lagrangian cobordism. (Work of Ekholm-Kálmán, in progress.)

## Theorem

- An exact<sup>a</sup> Lagrangian cobordism  $\Lambda$  from  $L$  to  $L'$  induces a map

$$\Phi_{\Lambda} : HC(L) \rightarrow HC(L'),$$

which is an invariant of  $\Lambda$  up to exact Lagrangian isotopy.

- Given exact Lagrangian cobordisms  $\Lambda_1$  (from  $L_1$  to  $L_2$ ) and  $\Lambda_2$  (from  $L_2$  to  $L_3$ ),

$$\Phi_{\Lambda_1 \circ \Lambda_2} = \Phi_{\Lambda_2} \circ \Phi_{\Lambda_1}.$$

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<sup>a</sup>The exactness is crucial here, to prevent boundary bubbling.

# 0-resolution map

## Theorem

Let  $L \rightsquigarrow L'$  be a 0-resolution<sup>a</sup> at a contractible double point  $x$ , and let  $\Lambda$  be an exact Lagrangian cobordism representing this 0-resolution. Then the combinatorial chain map

$$\Phi_\Lambda : \mathcal{A}(L) \rightarrow \mathcal{A}(L')$$

is given as follows:

$$\begin{aligned} x &\mapsto 1, \\ y &\mapsto y + \sum_P w_P, \end{aligned}$$

where  $y \neq x$  and the sum is over all immersed polygons  $P$  with two positive corners, one at  $x$  and the other at  $y$ , and zero or more negative corners. If the vertices of  $P$  are  $y, x_1, x_2, x, x_3$  in clockwise order, for example, then  $w_P = x_1 x_2 x_3$ .

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<sup>a</sup> $\exists$  minor technical conditions

# Proof of Theorem

First change coordinates:

$$d(e^t(dz - ydx)) = d(e^t) \wedge dz + dx \wedge d(e^t y),$$

and set  $u_1 = e^t$ ,  $v_1 = z$ ,  $u_2 = x$ ,  $v_2 = e^t y$ . Then  $\Lambda$  is a Lagrangian in the standard  $(\mathbf{R}^4, \sum_i du_i \wedge dv_i)$ , with an infinity in the  $u_1$ -direction.

Exact Lagrangian means that there is a lift of the Lagrangian to a Legendrian submanifold in the standard contact  $\mathbf{R}^5$  with coordinates  $(w, u_1, v_1, u_2, v_2)$  and contact form  $dw - \sum_i v_i du_i$ .

Use a slight extension of Ekholm's gradient flow tree technique for Legendrian submanifolds, which in turn generalizes Fukaya and Oh's work on gradient flow trees.



## An example

**Example:** (Right-handed trefoil)

We resolve the contractible crossings  $b_1, b_2, b_3$  in six different ways.

For example, if we resolve  $b_1, b_2, b_3$  in that order, then we obtain the map

$$\varepsilon : \mathcal{A}(L) \rightarrow A(\emptyset) = \mathbf{Z}/2,$$

$$b_1 \mapsto 1, \quad b_2 \mapsto 0, \quad b_3 \mapsto 0, \quad a_i \mapsto 0.$$

# Augmentations

A chain map  $\varepsilon : \mathcal{A}(L) \rightarrow \mathbf{Z}/2$  is called an *augmentation*. (Augmentations are usually required to *linearize* contact homology and compute its  $E_1$ -term with respect to the word length filtration.)

**Fact:** Algebraically there are 5 augmentations  $\varepsilon : \mathcal{A}(L) \rightarrow \mathbf{Z}/2$ , where  $L$  is the right-handed trefoil.

# Augmentations, continued

## Theorem

- 1 *All 5 augmentations are geometric, i.e., arise from an exact Lagrangian cobordism from  $L$  to  $\emptyset$ . They are obtained by resolving  $b_1$ ,  $b_2$ ,  $b_3$  in different orders.*
- 2 *All 5 exact Lagrangian cobordisms are distinct via exact Lagrangian isotopy.*

# A conjecture

## Conjecture

*Every augmentation of a Legendrian knot is geometric.*

I'm deliberately being vague here — for example, do we allow immersed exact Lagrangian cobordisms whose double points have certain Maslov indices, so that the map  $HC(L) \rightarrow \mathbf{Z}/2$  is still well-defined and independent of immersed exact Lagrangian isotopy?

# Khovanov homology

To each decomposable  $\Lambda$ , there exists a sequence

$$L = L_1, L_2, \dots, L_n = \sqcup \text{unknots},$$

where each  $L_j \rightsquigarrow L_{j+1}$  is either a Reidemeister move or a 0-resolution.

In Khovanov homology, there exist maps corresponding to:

- 1 The inverse of a 1-resolution.
- 2 The creation of an unknot.
- 3 Reidemeister moves.

# Elements in Khovanov homology

**We need to mirror** so that  $Kh(L)$  becomes  $Kh(\bar{L})$  and 0-resolutions become 1-resolutions.

## Theorem (Jacobsson)

*Given a cobordism  $\Lambda$  of  $L$  to  $\emptyset$ , there is a corresponding element  $c_\Lambda$  in  $Kh(\bar{L})$ .*

If  $\Lambda$  is decomposable, then  $c_\Lambda$  is obtained by composing:

$$Kh(\emptyset) \rightarrow Kh(\square \text{ unknots}) \rightarrow \cdots \rightarrow Kh(\bar{L}),$$

where all the arrows after the first are maps for Reidemeister moves or inverses of 1-resolution maps.

## Relationship to Ng's and Plamenevskaya's works

**Example:** (Right-handed trefoil) If  $L$  is the right-handed trefoil, then  $c_\Lambda$  for the examples of  $\Lambda$  above are at homological grading 0 and  $q$ -grading  $-1$ .

- 1 The class  $c_\Lambda$  coincides with the transverse knot invariant of Plamenevskaya in Khovanov homology.
- 2 The class  $c_\Lambda$  lies on the line given by Ng which indicates an upper bound on the Thurston-Bennequin invariant.

# Khovanov homology of left-handed trefoil

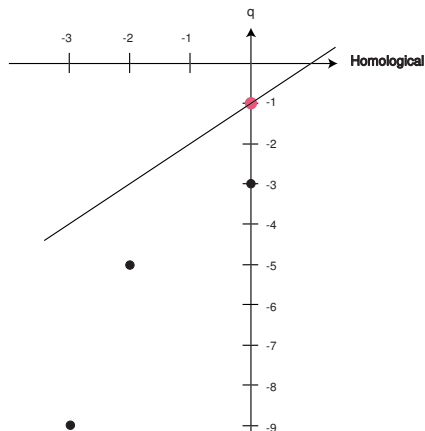


Figure: The Khovanov homology of the left-handed trefoil. Each dot represents a  $\mathbb{Z}$ , and the red dot is the location of  $c_\Lambda$ .



## Some questions

We can assign to each Legendrian knot  $L$  the collection

$$\text{Lag}(L) = \{\Lambda \mid \Lambda \text{ Lagrangian which bounds } L\},$$

and hence

$$C(L) = \{c_\Lambda \mid \Lambda \in \text{Lag}(L)\} \subset \text{Kh}(\bar{L})$$

### Questions

- 1 *Is  $C(L)$  a finite set? ( $C(L) = \emptyset$  if  $tb(L) \neq 2g_4(L) - 1$ .)*
- 2 *Does  $C(L)$  ever have more than one element?*

Happy Birthday, Yasha!