

# Automata and infinite words: applications in Group Theory

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## Stallings' foldings in free groups

Let a graph  $\Gamma$  consist of a set of vertices  $V(\Gamma)$ , a set of edges  $E(\Gamma)$ , and two functions:

$$E \rightarrow V \times V, \quad e \rightarrow (o(e), t(e)),$$

$$E \rightarrow E, \quad e \rightarrow \bar{e},$$

which satisfy the following properties

$$\bar{\bar{e}} = e, \quad e \neq \bar{e}, \quad o(e) = t(\bar{e}).$$

That is, every edge  $e$  has an initial vertex  $o(e)$ , a terminal vertex  $t(e)$ , and a formal inverse  $\bar{e}$ .

An **orientation** of  $\Gamma$  is a subset  $E_+ \subset E$  such that

$$E_+ \cap \bar{E}_+ = \emptyset, \quad E = E_+ \cup \bar{E}_+.$$

Edges from  $E_+$  we call **positively oriented**.

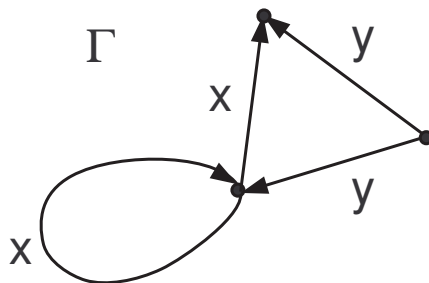
Let  $X$  be a finite alphabet. We can label positively oriented edges by

$$\mu : E_+ \rightarrow X$$

and extend  $\mu$  to  $E = E_+ \cup \bar{E}_+$  by setting  $\mu(\bar{e}) = \mu(e)^{-1}$  for every  $e \in E_+$ .

Hence, we obtain a **directed  $X$ -labeled graph ( $X$ -digraph)**  $\Gamma$ .

**Example.**  $X = \{x, y\}$



Observe that we draw only a positive edge  $e$  from each pair  $\{e, \bar{e}\}$ .

A path  $p$  in  $\Gamma$  is a sequence of edges  $p = e_1, \dots, e_k$ , where  $o(e_{i+1}) = t(e_i)$  for  $i \in [1, k - 1]$ .

$p$  has a naturally defined label  $\mu(p) = \mu(e_1) \cdots \mu(e_k)$  which is a word in the alphabet  $X \cup X^{-1}$ .

Let  $v \in V(\Gamma)$ . Define the **language of  $\Gamma$  with respect to  $v$**  to be

$$L(\Gamma, v) = \{\mu(p) \mid p \text{ is a reduced loop in } \Gamma \text{ at } v\},$$

where “reduced” stands for “without back-tracking”.

Obviously,  $L(\Gamma, v) \subseteq (X \cup X^{-1})^*$ . Note that words in  $L(\Gamma, v)$  are not necessarily freely reduced.

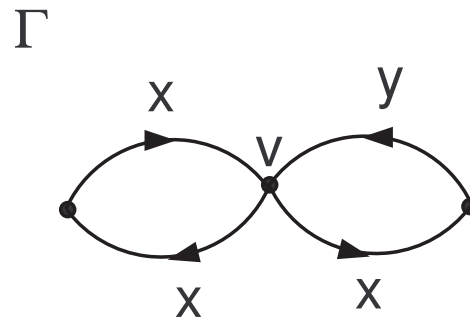
**Fact.** The set

$$\overline{L(\Gamma, v)} = \{\bar{w} \mid w \in L(\Gamma, v)\},$$

where “ $\bar{\phantom{x}}$ ” denotes free reduction, is a subgroup of  $F(X)$ .

On the other hand, if  $H$  is a finitely generated subgroup of  $F(X)$  then it is easy to construct a graph  $\Gamma$  such that  $H = \overline{L(\Gamma, v)}$  for some  $v \in V(\Gamma)$ .

**Example.** Let  $H = \langle x^2, xy \rangle < F(x, y)$  and take  $\Gamma$  to be a bouquet of loops at a vertex  $v$ , labeled by the generators of  $H$ .



Obviously,  $H = \overline{L(\Gamma, v)}$ .

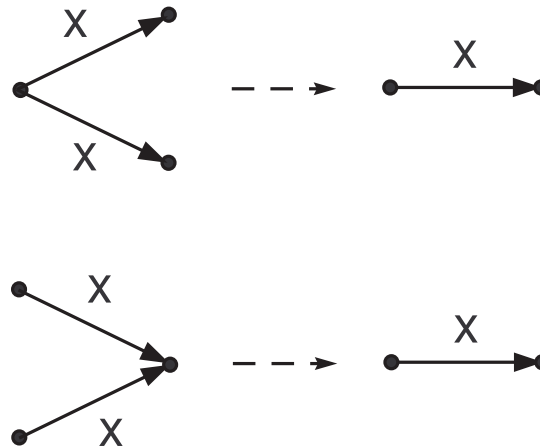
The idea to work with  $X$ -digraphs rather than subgroups of  $F(X)$  was introduced by J. Stallings (1983).

Many problems for subgroups of a free group now can be restated in terms of graphs and easily solved. But graphs representing subgroups have to be **folded**.

An  $X$ -digraph  $\Gamma$  is **folded** if there exist no edges  $e_1 \neq e_2$  such that  $o(e_1) = o(e_2)$ ,  $\mu(e_1) = \mu(e_2)$ . That is, the following situations are prohibited



Consider the following operations called **foldings**



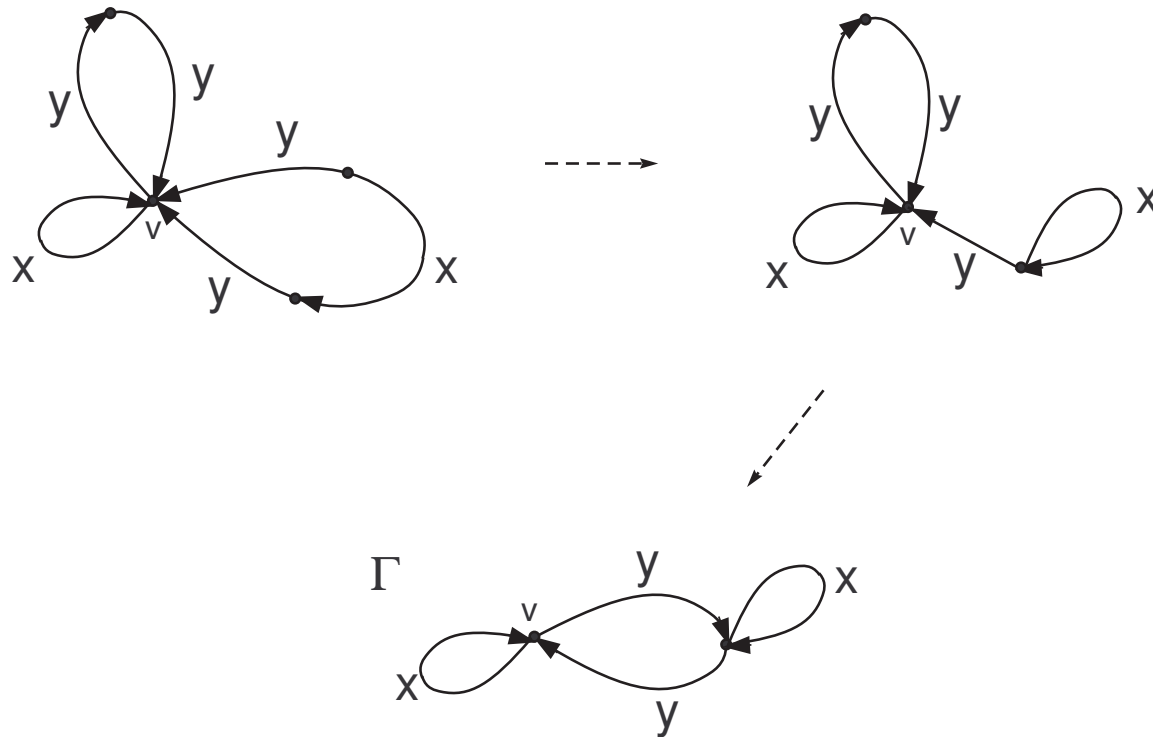
**Fact.** If  $\Delta$  is obtained from  $\Gamma$  by a folding, so that  $w \in V(\Delta)$  corresponds to  $v \in V(\Gamma)$ . Then  $\overline{L(\Gamma, v)} = \overline{L(\Delta, w)}$ .

**Fact.** For every finitely generated  $H \leq F(X)$  there exists a folded  $X$ -digraph  $\Gamma$  such that  $H = \overline{L(\Gamma, v)}$  for some  $v \in V(\Gamma)$ .



We start with a bouquet of loops labeled by generators of  $H$  and perform all possible foldings.

**Example:**  $H = \langle x, y^2, y^{-1}xy \rangle < F(x, y)$ .



**Fact.** If  $\Gamma$  is folded then  $\overline{L(\Gamma, v)} = L(\Gamma, v)$

Let  $H \leq F(X)$  and let  $\Gamma$  be a folded  $X$ -digraph such that  $H = L(\Gamma, v)$  for some  $v \in V(\Gamma)$ . If  $g \in F(X)$  then

$$g \in H \iff g \in L(\Gamma, v).$$

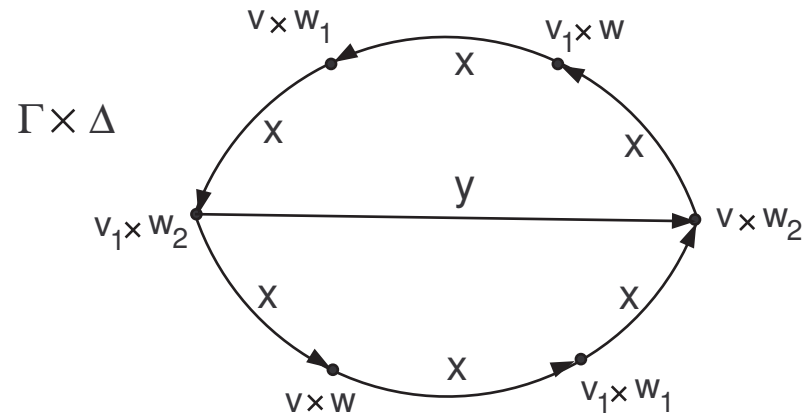
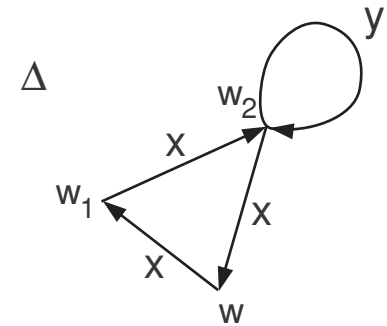
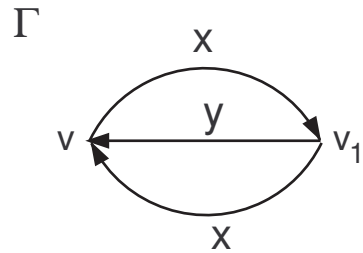
It is easy to check the last inclusion which gives a solution of the **Subgroup Membership Problem**.

Let  $H, K \leq F(X)$  and let  $\Gamma, \Delta$  be folded  $X$ -digraphs such that  $H = L(\Gamma, v)$ ,  $K = L(\Delta, w)$ .

$$H \cap K = L(\Gamma, v) \cap L(\Delta, w) = L(\Gamma \times \Delta, v \times w),$$

where  $\Gamma \times \Delta$  is a product-graph of  $\Gamma$  and  $\Delta$ . Hence, a solution of the **Subgroup Intersection Problem**.

**Example:**  $H = \langle xy, y^{-1}x \rangle$ ,  $K = \langle x^3, x^{-1}yx \rangle$ .



**Question:** Is it possible to generalize graph methods described above to groups whose elements can be represented by infinite words ?

## Ordered abelian groups

Let  $A$  be an ordered abelian group (any  $a, b \in A$  are comparable and for any  $c \in A$  :  $a \leq b \Rightarrow a + c \leq b + c$ ).

### Examples.

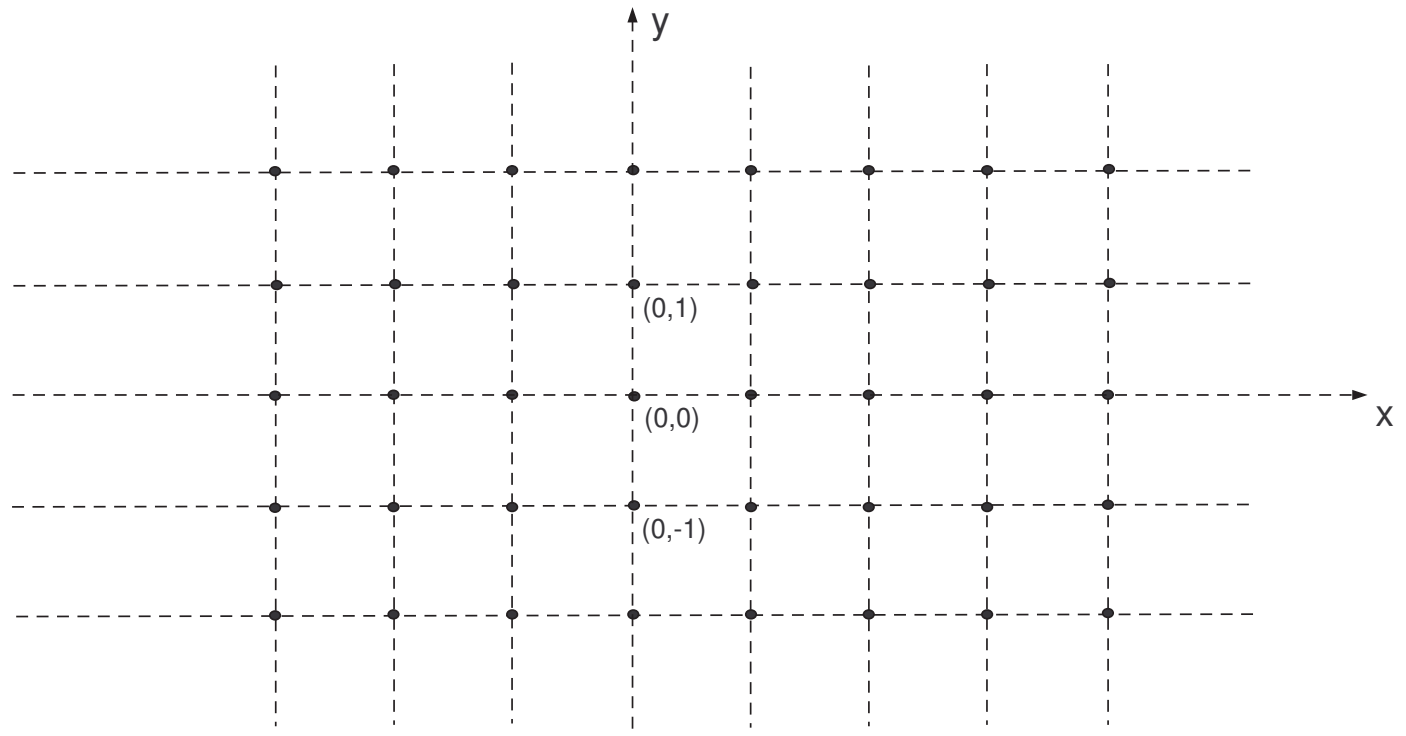
1. **archimedean case:**  $A = \mathbb{R}$ ,  $A = \mathbb{Z}$  with usual order.
2. **non-archimedean case:**  $A = \mathbb{Z}^2$  with the right lexicographic order

$$(a, b) < (c, d) \iff b < d \text{ or } b = d \text{ and } a < c.$$

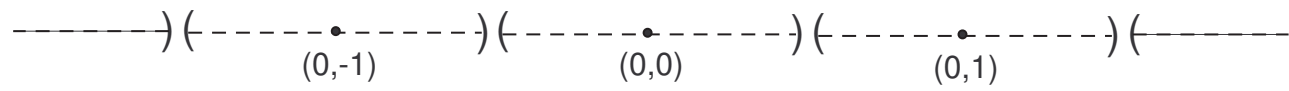
In particular,

$$(0, 1) > (n, 0) \text{ for every } n \in \mathbb{Z}.$$

$\mathbb{Z}^2$



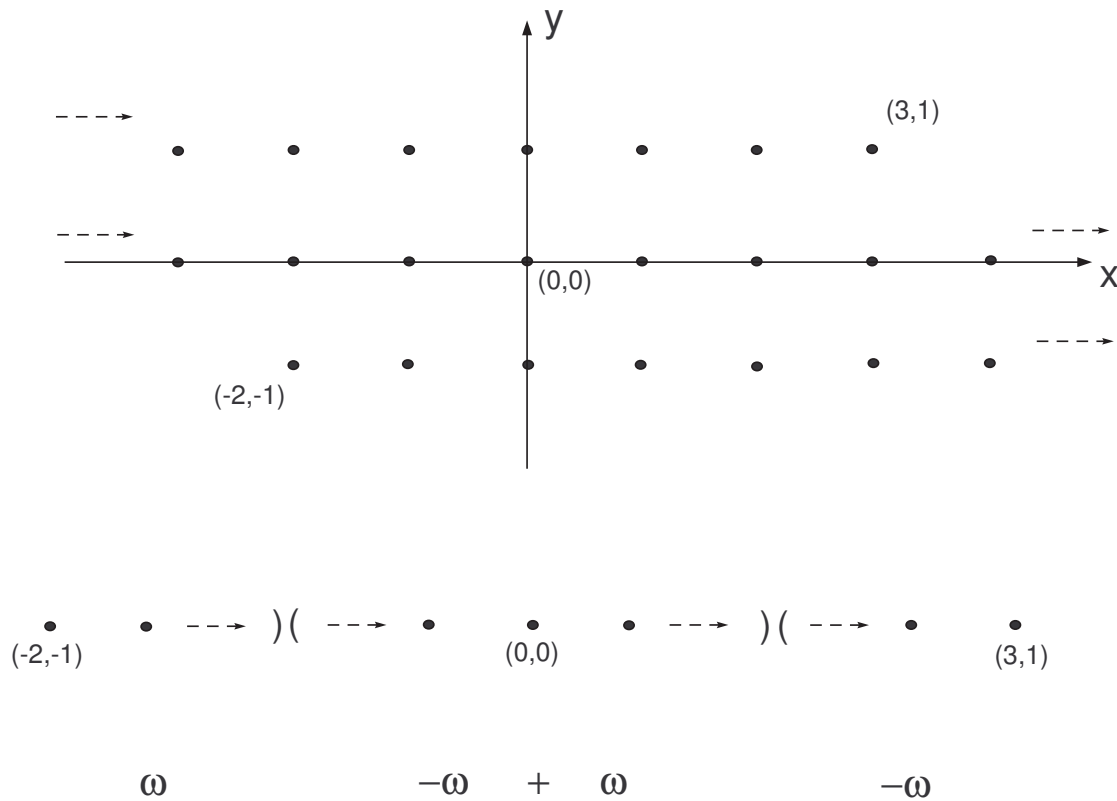
$\mathbb{Z}^2$  with the right lexicographic order



For  $\alpha, \beta \in \mathbb{Z}^2$  the **closed segment**  $[\alpha, \beta]$  is defined by

$$[\alpha, \beta] = \{ \gamma \in \mathbb{Z}^2 \mid \alpha \leq \gamma \leq \beta \}.$$

**Example.**  $[(-2, -1), (3, 1)]$



## Infinite words

Let  $A$  be a discretely ordered abelian group (contains a minimal positive element  $1_A$ ) and  $X = \{x_i \mid i \in I\}$  be a set.

An  $A$ -word is a function of the type

$$w : [1_A, \alpha] \rightarrow X^\pm,$$

where  $\alpha \geq 0$ . The element  $\alpha$  is called the length  $|w|$  of  $w$ .

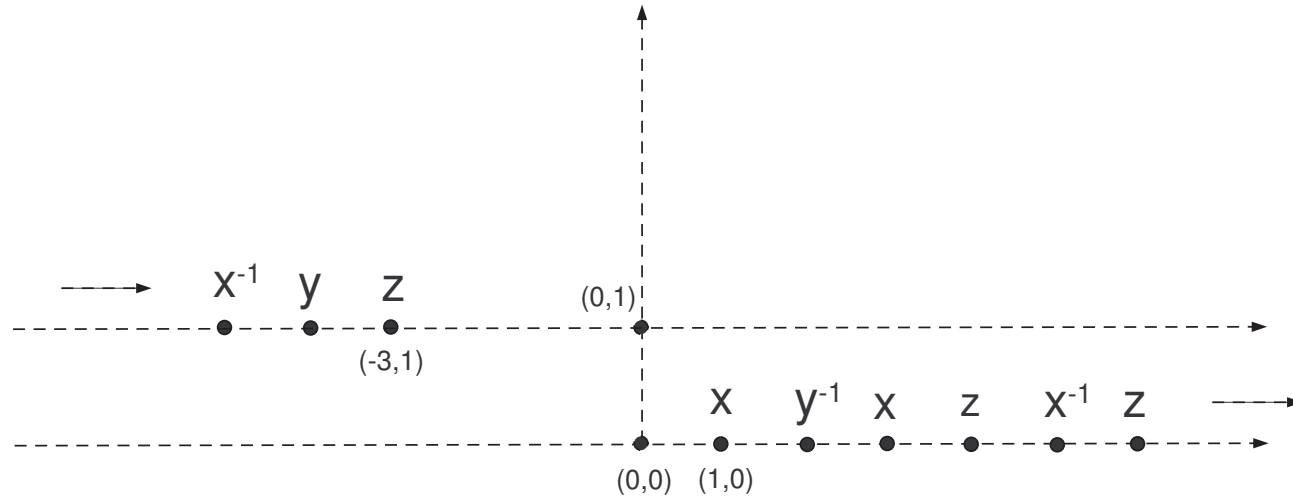
By  $\varepsilon$  we denote the empty  $A$ -word (when  $\alpha = 0$ ).

$w$  is **reduced**  $\iff$  no subwords  $xx^{-1}$ ,  $x^{-1}x$  ( $x \in X$ ).

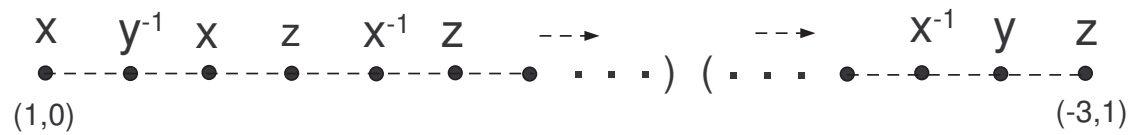
$R(A, X)$  = the set of all reduced  $A$ -words.



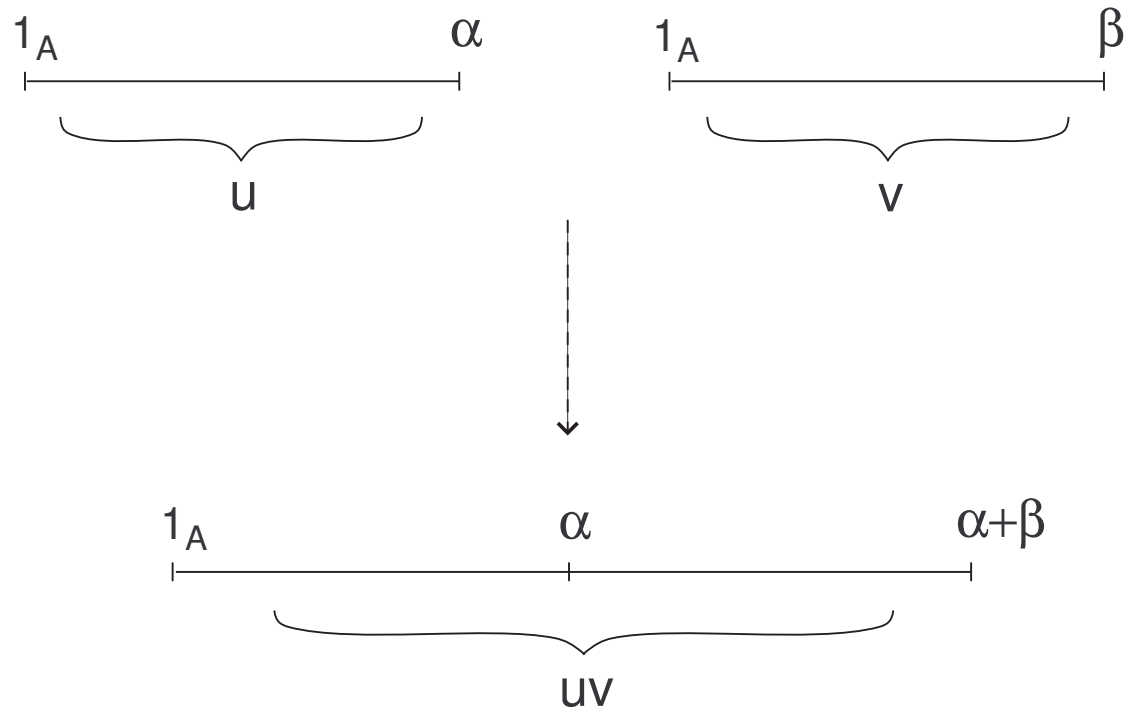
**Example.**  $X = \{x, y, z\}$ ,  $A = \mathbb{Z}^2$



In “linear” notation

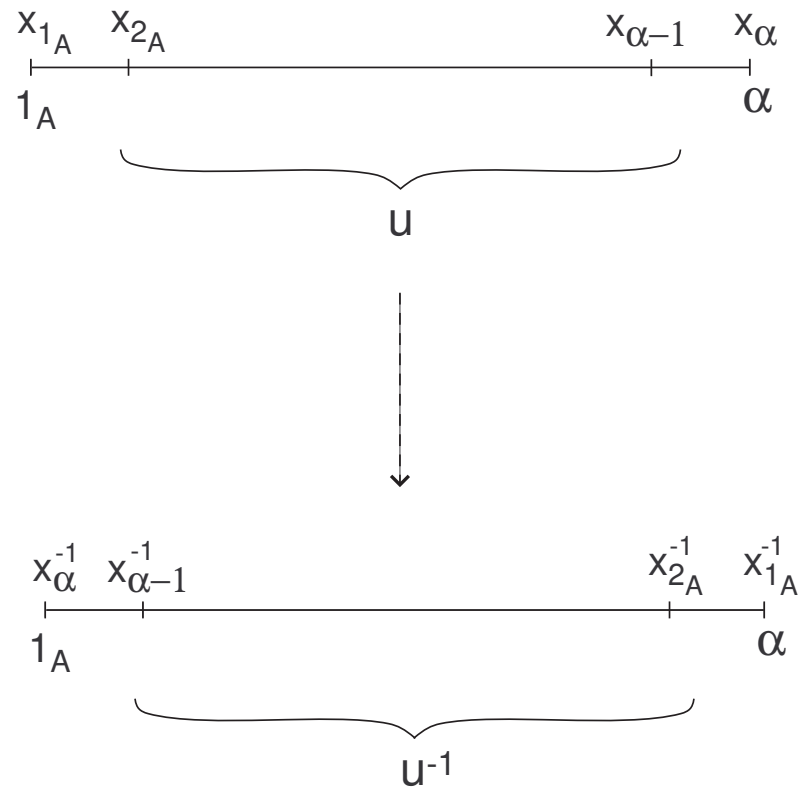


## Concatenation of $A$ -words

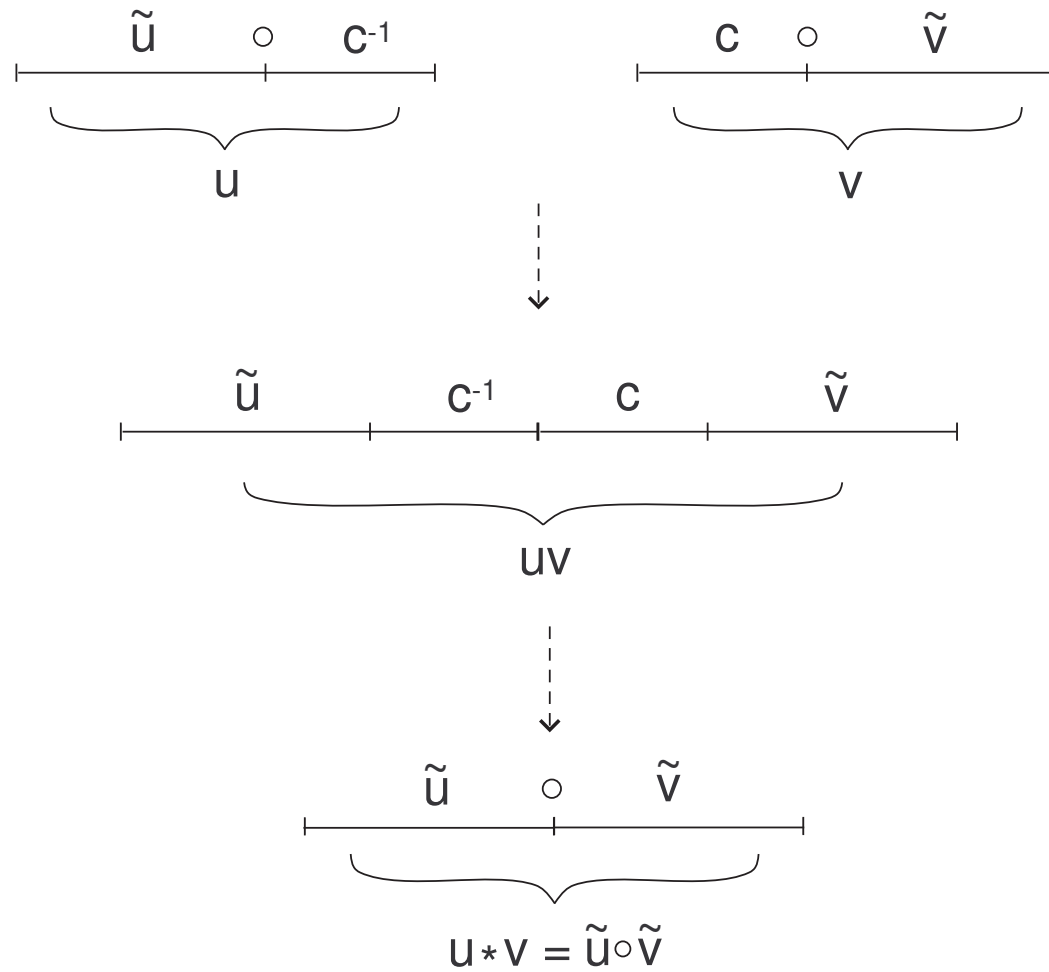


We write  $u \circ v$  instead of  $uv$  in the case when  $uv$  is reduced.

## Inversion of $A$ -words



## Multiplication of A-words



## Multiplication of $A$ -words

Let  $u, v \in R(A, X)$ .

Suppose  $u$  and  $v$  can be represented in the form

$$u = \tilde{u} \circ c^{-1}, v = c \circ \tilde{v},$$

where  $c \in R(A, X)$  is of maximal possible length.

Then define

$$u * v = \tilde{u} \circ \tilde{v}.$$

The decomposition of  $u$  and  $v$  above exists only if  $u^{-1}$  and  $v$  have the maximal common initial part defined on a closed segment.

**Example.**  $u, v \in R(\mathbb{Z}^2, X)$

$$\begin{array}{l}
 u^{-1}: \quad \begin{array}{ccccccc}
 x & x & x & \dashrightarrow & & & \\
 \bullet & \bullet & \bullet & \bullet & \cdots & ) & ( \cdots \bullet & y & y & y \\
 & & & & & & \bullet & \bullet & \bullet & \bullet
 \end{array} \\
 v: \quad \begin{array}{ccccccc}
 x & x & x & \dashrightarrow & & & \\
 \bullet & \bullet & \bullet & \bullet & \cdots & ) & ( \cdots \bullet & z & z & z \\
 & & & & & & \bullet & \bullet & \bullet & \bullet
 \end{array}
 \end{array}$$

The common initial part of  $u^{-1}$  and  $v$  is

$$\begin{array}{ccccccc}
 x & x & x & \dashrightarrow & & & \\
 \bullet & \bullet & \bullet & \bullet & \cdots & ) &
 \end{array}$$

which is not defined on a closed segment. Hence,  $u * v$  is not defined.

## Cyclic decomposition

$v \in R(A, X)$  is **cyclically reduced** if  $v(1_A)^{-1} \neq v(|v|)$ .

$v \in R(A, X)$  admits a **cyclic decomposition** if

$$v = c^{-1} \circ u \circ c,$$

where  $c, u \in R(A, X)$  and  $u$  is cyclically reduced.

**Example.**  $u \in R(\mathbb{Z}^2, X)$  does not admit a cyclic decomposition

$$\mathbf{u} : \begin{array}{ccccccc} x^{-1} & x^{-1} & \dashrightarrow & & & & \\ \bullet & \bullet & \bullet & \dots & ) & ( & \dots & \bullet & \bullet & \bullet & \dashrightarrow & & & \\ & & & & & & & \dots & \bullet & \bullet & \bullet & & & \\ & & & & & & & & \dots & \bullet & \bullet & \bullet & & \end{array}$$

## Torsion

$R(A, X)$  has elements of order 2.

**Example.**  $u \in R(\mathbb{Z}^2, X)$

$$\mathbf{u} : \begin{array}{cccc} x^{-1} & x^{-1} & \dashrightarrow & \dots \\ \bullet & \bullet & \bullet & \dots \end{array} \left( \begin{array}{ccc} \dots & \dashrightarrow & x \\ \dots & \bullet & \bullet \end{array} \right) \begin{array}{c} x \\ \bullet \end{array}$$

has order 2.

**Fact.** Let  $u \in R(A, X)$ . If  $u * u$  is defined then either  $u$  admits a cyclic decomposition (thus, has infinite order), or has order 2.



## A non-standard free group

In 1960 R. Lyndon introduced a notion of a [free  \$\mathbb{Z}\[t\]\$ -group](#). It can be defined as a union of the chain of groups

$$F = F_0 < F_1 < \cdots < F_n < \cdots,$$

where  $F = F(X)$  is a free group on an alphabet  $X$ , and  $F_k$  is generated by  $F_{k-1}$  and formal expressions of the type

$$\{w^\alpha \mid w \in F_{k-1}, \alpha \in \mathbb{Z}[t]\}.$$

That is, every element of  $F_k$  can be viewed as a [parametric word](#) of the type

$$w_1^{\alpha_1} w_2^{\alpha_2} \cdots w_m^{\alpha_m},$$

where  $m \in \mathbb{N}$ ,  $w_i \in F_{k-1}$ , and  $\alpha_i \in \mathbb{Z}[t]$ .

Thus obtained group, denoted  $F^{\mathbb{Z}[t]}$ , is called **Lyndon's free  $\mathbb{Z}[t]$ -group**, or a  **$\mathbb{Z}[t]$ -completion** of a free group  $F$ .

Observe that for any  $g \in F^{\mathbb{Z}[t]}$  and  $\alpha \in \mathbb{Z}[t]$  there exists an element  $g^\alpha \in F^{\mathbb{Z}[t]}$ . That is,  $F^{\mathbb{Z}[t]}$  admits  **$\mathbb{Z}[t]$ -exponentiation**.

$F^{\mathbb{Z}[t]}$  can be viewed as a **non-standard free group**. Besides **standard** exponents  $\{g^n, n \in \mathbb{Z}\}$  of its elements it also contains **non-standard** ones  $\{g^\alpha, \alpha \in \mathbb{Z}[t] \setminus \mathbb{Z}\}$ .

Miasnikov and Remeslennikov (1996) gave an effective construction of  $F^{\mathbb{Z}[t]}$  in terms of [extensions of centralizers](#).

Let  $G$  be a group and  $C_G(u) = \langle u \rangle$  a cyclic centralizer of  $u \in G$ . An [extension of  \$C\_G\(u\)\$  by  \$\mathbb{Z}\[t\]\$](#)  is defined as the HNN-extension

$$H = \langle G, s_j \ (j \in \mathbb{N}) \mid [u, s_j] = [s_j, s_k] = 1 \ (j, k \in \mathbb{N}) \rangle.$$

Observe that  $s_j$  corresponds to  $u^{t^j}$  which commutes with  $u$ , and  $C_H(u) \simeq \mathbb{Z}[t]$

$F^{\mathbb{Z}[t]}$  is a union of the infinite chain of groups

$$F = G_0 < G_1 < \cdots < G_n < \cdots,$$

where  $G_{i+1}$  is obtained from  $G_i$  by extension of all cyclic centralizers in  $G_i$ .

## $F^{\mathbb{Z}[t]}$ as a group of infinite words

Recall that  $R^*(\mathbb{Z}[t], X)$  is the set of  $\mathbb{Z}[t]$ -words which admit cyclic decompositions.

**Theorem. (Miasnikov, Remeslennikov, S)** There exists an embedding

$$\phi : F^{\mathbb{Z}[t]} \rightarrow R^*(\mathbb{Z}[t], X).$$

Moreover, this embedding is effective and representation of elements of  $F^{\mathbb{Z}[t]}$  by infinite words introduces “nice” normal forms on  $F^{\mathbb{Z}[t]}$ .

## Idea of the proof.

$F^{\mathbb{Z}[t]}$  is a union of the chain  $F = G_0 < G_1 < \cdots < G_n < \cdots$ .

Assume that an embedding  $G_n \hookrightarrow R^*(\mathbb{Z}[t], X)$  is constructed (we identify  $G_n$  with its image).

Choose  $C = \{u_i \mid i \in I\} \subset G_n$ , the set of generators of proper cyclic centralizers in  $G_n$  (up to conjugacy and taking inverses).

Define a  $\mathbb{Z}[t]$ -exponentiation function

$$\exp : (u, \alpha) \rightarrow u^\alpha,$$

where  $u \in C, \alpha \in \mathbb{Z}[t]$ .

Finally, prove that  $H = \langle G_n, \{u^{t^k} \mid u \in C, k \in \mathbb{N}\} \rangle$  is a subgroup of  $R^*(\mathbb{Z}[t], X)$  isomorphic to  $G_{n+1}$ .

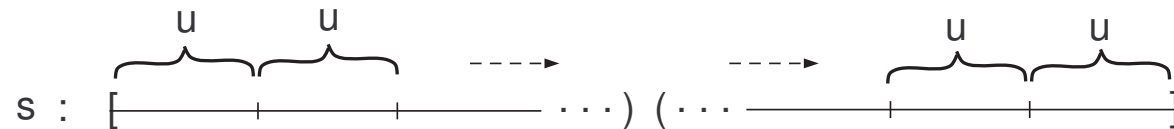
**Example.** Let  $X = \{x, y\}$ ,  $F = F(X)$ . If  $u \in F$  is cyclically reduced then

$$G = \langle F, s \mid s^{-1}us = u \rangle$$

is embeddable into  $R^*(\mathbb{Z}^2, X)$ .

Indeed,  $F \subset R^*(\mathbb{Z}^2, X)$  and we define  $s$  as a “non-standard” exponent of  $u$

$$s = u^t, \quad t = (0, 1).$$



It is easy to see that

$$u \circ s = s \circ u.$$

Elements of  $G = \langle F, s \mid s^{-1}us = u \rangle$  viewed as infinite words have normal forms.

If  $g \in G$  then

$$g = g_1 \circ u^{\alpha_1} \circ g_2 \circ \cdots \circ u^{\alpha_n} \circ g_{n+1},$$

where  $g_i \in F$ ,  $\alpha_i \in \mathbb{Z}^2 - \mathbb{Z}$ .

Normal forms can be computed easily.

**Example.** Let  $u = xy \in F$  and  $g = (y^{-1}x^{-1}) s x^{-1} s^{-1} \in G$ .

Then, a representation of  $g$  as an infinite word is

$$\begin{aligned} g &= (y^{-1}x^{-1}) * u^t * x^{-1} * u^{-t} = (y^{-1}x^{-1}) * (u \circ u^{t-1}) * x^{-1} * u^{-t} = \\ &= (y^{-1}x^{-1}) * ((xy) \circ u^{t-1}) * x^{-1} * u^{-t} = u^{t-1} \circ x^{-1} \circ u^{-t}. \end{aligned}$$

**Example.** Let  $F = F(X)$ ,  $X = \{x, y\}$  and  $G = \langle F, s \mid s^{-1}us = u \rangle$ , where  $u = xyx$  and  $s = u^t$  is defined as before.

Take  $g \in G$  to be  $g = s^2 y x s^3$ . It follows that

$$g = u^{2t} \circ (yx) \circ u^{3t} = (xyx)^{2t} \circ (yx) \circ (xyx)^{3t}$$

is a representation of  $g$  as an infinite word.

But at the same time

$$g = u^{2t-1} \circ (xy) \circ u^{3t+1} = (xyx)^{2t-1} \circ (xy) \circ (xyx)^{3t+1}$$

is another representation of  $g$  as an infinite word.

The former one is characterized by a 2-tuple  $(2t, 3t)$  of non-standard exponents involved, the latter one by  $(2t - 1, 3t + 1)$ , which is less than  $(2t, 3t)$  in the left lexicographic order.



## Generalization of Stallings' foldings to $F^{\mathbb{Z}[t]}$

**Theorem. (Miasnikov, Remeslennikov, S)** Let  $G$  be a finitely generated subgroup of  $F^{\mathbb{Z}[t]}$ . Then there exists a finite labeled directed graph  $\Gamma_G$  such that

$$g \in G \text{ if and only if } \Gamma_G \text{ "accepts" } g.$$

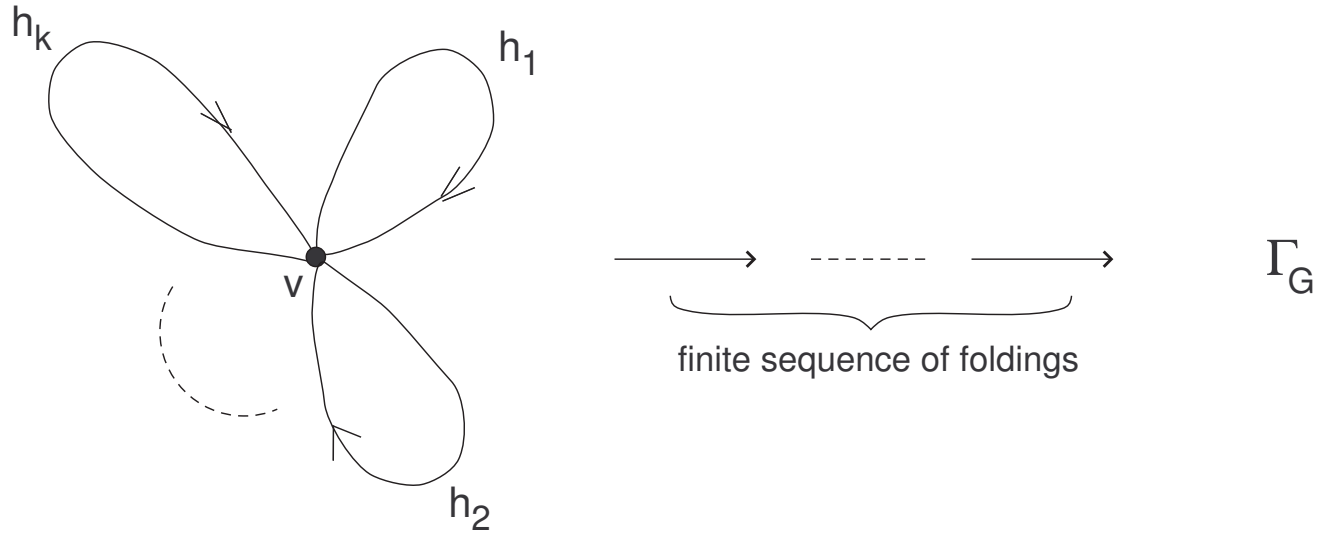
In other words  $\Gamma_G$  solves the Subgroup Membership Problem in  $F^{\mathbb{Z}[t]}$ . Moreover,  $\Gamma_G$  can be constructed effectively, given generators of  $G$ .

Edges of  $\Gamma_G$  are labeled by letters from the alphabet

$$\{X \cup X^{-1}\} \cup \{u^\alpha \mid u \in U, \alpha \in \mathbb{Z}[t]\},$$

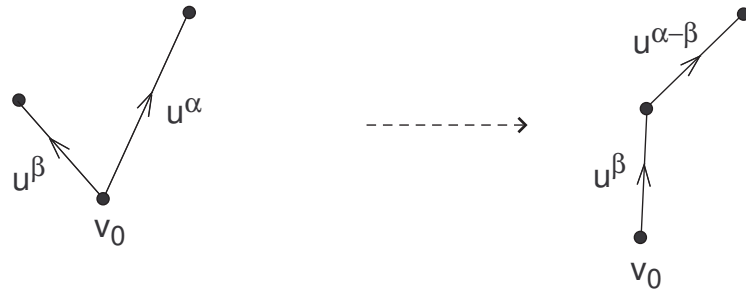
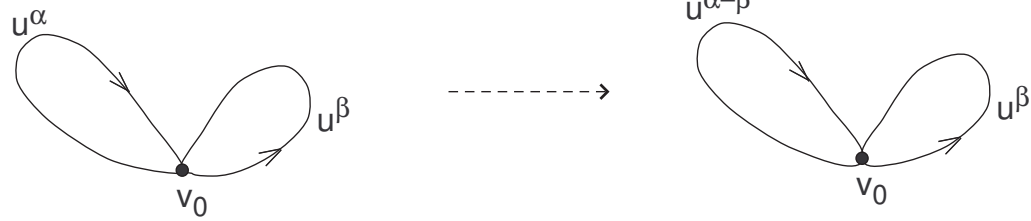
where  $U$  is a special subset of  $F^{\mathbb{Z}[t]}$ .

Let  $G = \langle h_1, \dots, h_k \rangle$ .



foldings =  $\left\{ \begin{array}{l} \text{standard Stallings' foldings} \\ U\text{-foldings} \end{array} \right.$

$U$ -foldings (assume  $\alpha \geq \beta > 0$ )



**Theorem. (Kharlampovich, Miasnikov, Remeslennikov, S)**

The Subgroup Intersection Problem is decidable in  $F^{\mathbb{Z}[t]}$ . That is, there exists an algorithm which for any f.g. subgroups  $H$  and  $K$  of  $F^{\mathbb{Z}[t]}$  effectively finds generators of  $H \cap K$ , which is finitely generated.

**Theorem. (Kharlampovich, Miasnikov, Remeslennikov, S)**

There exists an algorithm which for any f.g. subgroups  $H$  and  $K$  of  $F^{\mathbb{Z}[t]}$  effectively checks if there exists  $g \in F^{\mathbb{Z}[t]}$  such that

$$H^g = K.$$

etc.

## Applications to fully residually free (or limit) groups

A group  $G$  is called **fully residually free** if for any finitely many non-trivial elements  $g_1, \dots, g_n \in G$  there exists a homomorphism  $\phi$  of  $G$  into a free group  $F$ , such that  $\phi(g_i) \neq 1$  for  $i = 1, \dots, n$ .

Fully residually free groups naturally arise from studying equations in free groups, and have a lot of nice properties.

### Examples:

1. free groups,
2. surface groups (except for non-orientable surfaces of genus 1, 2, 3),
3. extensions of centralizers of a free group.

**Theorem (Kharlampovich-Myasnikov, 1998).** Every f.g. fully residually free group is embeddable into a “non-standard” free group  $F^{\mathbb{Z}[t]}$ . Moreover, for a given finite presentation of a f.g. fully residually free group  $G$  one can effectively construct an embedding of  $G$  into  $F^{\mathbb{Z}[t]}$ .

Now, our solution of various algorithmic problems for subgroups of  $F^{\mathbb{Z}[t]}$  implies the solution of the same problems for f.g. fully residually free groups.