

# A Lower Bound for Potentially $F$ -Graphic Degree Sequences

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May 2009

Canadian Discrete and Algorithmic Mathematics Conference

# The degree sequence problem

**Problem:** Given an integer sequence  $\mathbf{d} = (d_1, \dots, d_n)$  determine if there exists a graph  $G$  with  $\mathbf{d}$  as its sequence of degrees.

If such a  $G$  exists then  $\mathbf{d}$  is said to be *graphic*, and  $G$  is called a *realization*.

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Havel (1955) and Hakimi (1962) gave an algorithm to decide.

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To construct a realization, work backwards using simple edge augmentations.

# Erdős-Gallai criterion

## Theorem

[Erdős, Gallai (1960)]

A nonincreasing sequence of nonnegative integers  $\mathbf{d} = (d_1, \dots, d_n)$  ( $n \geq 2$ ) is graphic if, and only if,  $\sum_{i=1}^n d_i$  is even and for each integer  $k$ ,  $1 \leq k \leq n - 1$ ,

$$\sum_{i=1}^k d_i \leq k(k-1) + \sum_{i=k+1}^n \min\{k, d_i\}.$$

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The degrees of the first  $k$  vertices are “absorbed” within  $k$ -subset and the degrees of remaining vertices. A necessary condition which is also sufficient!

## Theorem (Erdős, Gallai)

For a graphic  $\mathbf{d}$ ,  $\sum_{i=1}^n d_i \geq 2(n-1)$  if and only if there exists a connected  $G$  realizing  $\mathbf{d}$ .



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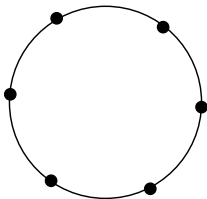
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(Necessity) Pick the realization of  $\mathbf{d}$  with the fewest number of components. If this number is 1, then we are done. Otherwise one of the components contains a cycle. Performing a simple edge-exchange allows us to move to a realization with fewer components.  $\square$

For a subgraph  $F$ ,  $\mathbf{d}$  is said to be **potentially  $F$ -graphic** if there exists a realization of  $\mathbf{d}$  containing  $F$ .

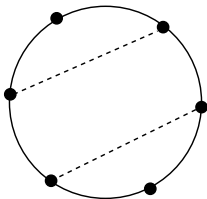
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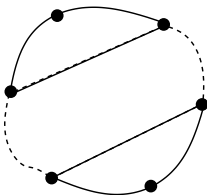
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## Problem

Given a subgraph  $F$ , determine the *least* even integer  $m$  s.t.  
 $\sum d_i \geq m \Rightarrow \mathbf{d}$  is potentially  $F$ -graphic.

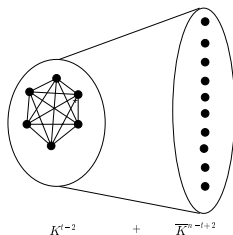
Denote  $m$  by  $\sigma(F, n)$ .

# Erdős, Jacobson, Lehel Conjecture

## Conjecture

(EJL - 1991) For  $n$  sufficiently large,  
 $\sigma(K^t, n) = (t - 2)(2n - t + 1) + 2$ .

Lower bound arises from considering:



$$\mathbf{d} = ((n - 1)^{t-2}, (t - 2)^{n-t+2})$$



# Erdős, Jacobson, Lehel Conjecture

Conjecture settled:

- ▶  $t = 3$  Erdős, Jacobson, & Lehel(1991),
- ▶  $t = 4$  Gould, Jacobson, & Lehel(1999), Li & Song(1998),
- ▶  $t = 5$  Li & Song(1998),
- ▶  $t \geq 6$  Li, Song, & Luo(1998)
- ▶  $t \geq 3$  S.(2005), Ferrara, Gould, S. (2009) - purely graph-theoretic proof.

## Theorem

For  $n$  sufficiently large,  $\sigma(K^t, n) = (t - 2)(2n - t + 1) + 2$ .

## Sketch of our proof

- ▶ Uses induction on  $t$ .
- ▶ Erdős-Gallai guarantees enough vertices of high degree.
- ▶ Uses notion of an edge-exchange.
- ▶ Edge-exchange allows us to place desired subgraph on vertices of highest degree and “build”  $K^t$  from smaller clique guaranteed by inductive hypothesis.

## Extending the EJL-conjecture to an arbitrary graph $F$

Let  $F$  be a forbidden subgraph.

Let  $\alpha(F)$  denote the independence number of  $F$  and define:

$$u := u(F) = |V(F)| - \alpha(F) - 1,$$

and

$$s := s(F) = \min\{\Delta(H) : H \subset F, |V(H)| = \alpha(F) + 1\}.$$

Consider the following sequence,

$$\pi(F, n) = ((n-1)^u, (u+s-1)^{n-u}).$$

## An example

Consider  $F = K_{6,6}$ . Then,

$$u(K_{6,6}) = |V(K_{6,6})| - \alpha(K_{6,6}) - 1 = 12 - 6 - 1 = 5$$

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Thus,

$$\pi(K_{6,6}, n) = ((n-1)^5, (5+4-1)^{n-5}) = ((n-1)^5, 8^{n-5}).$$

## A General Lower Bound

If  $F'$  is a subgraph of  $F$  then  $\sigma(F', n) \leq \sigma(F, n)$  for every  $n$ . Let  $\sigma(\pi)$  denote the sum of the terms of  $\pi$ .

### Proposition (Ferrara, S. - '09)

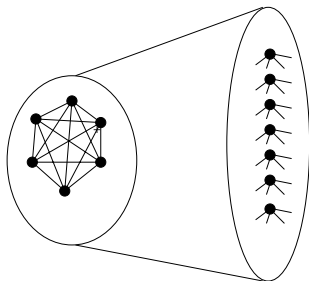
Given a graph  $F$  and  $n$  sufficiently large then,

$$\sigma(F, n) \geq \max\{\sigma(\pi(F', n)) + 2|F' \subseteq F\} \quad (1)$$

$$= \max\{n(2u(F') + s(F') - 1) | F' \subseteq F\} \quad (2)$$

# Proof of Lower Bound

**PROOF:** Let  $F' \subseteq F$  be the subgraph which achieves the max.  
 Consider,



$K^{u(F')}$  + An  $s(F')$ -regular graph  
 on  $n-u(F')$  vertices.

$$u(F') = |V(F')| - \alpha(F') - 1$$

$$s(F') = \min\{\Delta(H) : H \subset F', |V(H)| = \alpha(F') + 1\}$$



## Let's do a little better with our Example

Let  $F = K_{6,6}$ .

Then  $u(K_{6,6}) = 12 - 6 - 1 = 5$  and  $s(K_{6,6}) = 4$ .

Consider,

$$\pi^*(K_{6,6}, n) = ((n-1)^5, 10, 9, 8^{n-7}).$$

## Allowing a few vertices to have a little higher degree

Let  $v_i(H)$  be the number of vertices of degree  $i$  in  $H$ . Let  $M_i(H)$  denote the set of induced subgraphs on  $\alpha + 1$  vertices with  $v_i(H) > 0$ .

For all  $i$ ,  $s \leq i \leq \alpha - 1$  define:

$$m_i = \min_{M_i(H)} \{\text{vertices of degree at least } i\}$$

$$n_s = m_s - 1 \text{ and } n_i = \min\{m_i - 1, n_{i-1}\}$$

Finally, set  $\delta_{\alpha-1} = n_{\alpha-1}$  and for all  $i$ ,  $s \leq i \leq \alpha - 2$  define  $\delta_i = n_i - n_{i+1}$  and

$$\begin{aligned} \pi^*(F, n) = & ((n-1)^u, (u+\alpha-1)^{\delta_{\alpha-1}}, (u+\alpha-2)^{\delta_{\alpha-2}}, \dots \\ & (u+s)^{\delta_s}, (u+s-1)^{n-u-\sum \delta_i}). \end{aligned}$$

## An Example

Let  $F = K_{6,6}$ .

Then  $u(K_{6,6}) = 12 - 6 - 1 = 5$  and  $s(K_{6,6}) = 4$ .

$m_4 = 3$  and  $m_5 = 2$

$n_4 = m_4 - 1 = 2$  and  $n_5 = \min\{m_5 - 1, n_4\} = 1$

$\delta_5 = n_5 = 1$  and  $\delta_4 = n_5 - n_4 = 1$

Thus,

$$\pi^*(K_{6,6}, n) = ((n-1)^5, 10, 9, 8^{n-7})$$

# A Stronger Lower Bound

Theorem (Ferrara, S. - '09)

*Given a graph  $F$  and  $n$  sufficiently large then,*

$$\sigma(F, n) \geq \max\{\sigma(\pi^*(F', n)) + 2|F' \subseteq F\}$$

# When Does Equality Hold?

- ▶ **cliques**
- ▶ **complete bipartite graphs** Chen, Li, Yin '04; Gould, Jacobson, Lehel '99; Li, Yin '02
- ▶ **complete multipartite graphs** Chen, Yin '08; G. Chen, Ferrara, Gould, S. '08; Ferrara, Gould, S. '08
- ▶ **matchings** Gould, Jacobson, Lehel '99
- ▶ **cycles** Lai '04
- ▶ **(generalized) friendship graph** Ferrara, Gould, S. '06, (Chen, S., Yin '08)
- ▶ **clique minus an edge** Lai '01; Li, Mao, Yin '05
- ▶ **disjoint union of cliques** Ferrara '08

# Our Conjecture

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## Conjecture

(weaker version) Given a graph  $F$ , let  $\epsilon > 0$ . Then there exists an  $n_0 = n_0(\epsilon, F)$  such that for any  $n > n_0$

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Conjecture (strong form) holds for **graphs with independence number 2** (Ferrara, S. - '09)

## An example of the generalized problem

Is the following graphic?

$$\langle \mathbb{V}, \mathbf{d}, D \rangle = \langle \{V_1, V_2\}, (5^4, 3^8), \begin{bmatrix} 6 & 8 \\ 8 & 8 \end{bmatrix} \rangle$$



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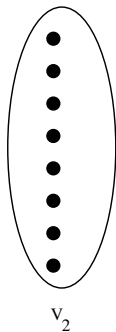
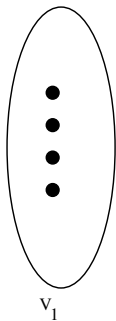
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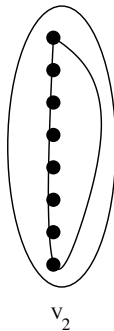
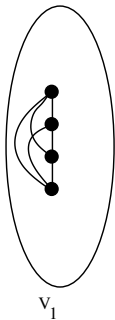
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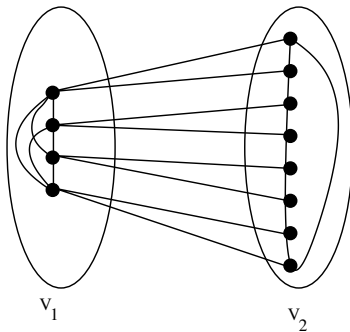
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Let  $\mathbf{d} = (d_1^{v_1}, d_2^{v_2}, \dots, d_k^{v_k})$  where  $v_i = |V_i|$  and so  $V_i$  is the set of vertices of degree  $d_i$ . Let  $\mathbb{V} = \{V_1, \dots, V_k\}$ . Let  $D = (d_{ij})$  be a  $k \times k$  matrix, with  $d_{ij}$  denoting the number of edges between  $V_i$  and  $V_j$ ;  $d_{ii}$  is the number of edges contained entirely within  $V_i$ .

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### Joint degree-matrix graphic realization problem

Given  $\langle \mathbb{V}, \mathbf{d}, D \rangle$ , decide whether a simple graph  $G$  exists such that, for all  $i$ , each vertex in  $V_i$  has degree  $d_i$ , and, for  $i \neq j$ , there are exactly  $d_{ij}$  edges between  $V_i$  and  $V_j$ , while, for all  $i$ , there are exactly  $d_{ii}$  edges contained in  $V_i$ .

Amanatidis, Green and Mihail (AGM) have shown that the following natural necessary conditions for a realization to exist are also sufficient. The conditions are:

*Degree feasibility:*  $2d_{ii} + \sum_{j \in [k], j \neq i} d_{ij} = v_i d_i$ , for all  $1 \leq i \leq k$ , and

*Matrix feasibility:*  $D$  is a symmetric matrix with non-negative integral entries,  $d_{ij} \leq v_i v_j$ , for all  $1 \leq i \leq k$ , and  $d_{ij} \leq \binom{v_i}{2}$ , for all  $1 \leq i \leq k$ .

## Theorem (Joint Degree-Matrix Realization Theorem - AGM)

*Given  $\langle \mathbb{V}, \mathbf{d}, D \rangle$ , if degree and matrix feasibility hold, then a graph  $G$  exists that realizes  $\langle \mathbb{V}, \mathbf{d}, D \rangle$ . Furthermore, such a graph can be constructed in time polynomial in  $n$ .*



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The value of  $d_{11}$  forces, by degree feasibility,  $d_{12} = d_{21} = 30$ . In turn, by degree feasibility again, we get  $d_{22} = 1$ . It is now easy to check that matrix feasibility holds. Thus,  $\mathbf{d}$  has a realization containing a copy of  $K^6$ .

# Summary

- ▶ Proved the EJM-conjecture
- ▶ Generalized the EJM-conjecture and proved a specific case
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