

# The topology and geometry of Outer space

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## $Out(F_n)$

$F_n = \langle a_1, a_2, \dots, a_n \rangle$  is the free group of rank  $n$ .

$$Out(F_n) = Aut(F_n)/Inn(F_n)$$

- ▶ contains  $MCG(S)$  for punctured surfaces  $S$
- ▶ maps to  $GL_n(\mathbb{Z})$

The study of mapping class groups and arithmetic groups is an inspiration in the study of  $Out(F_n)$ .

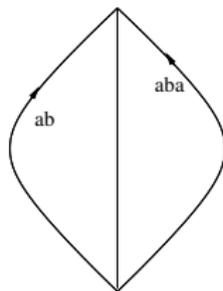
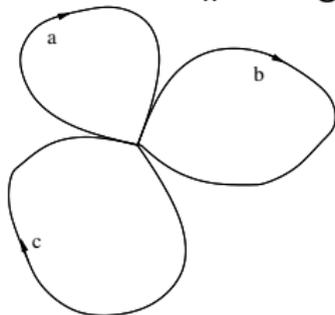
### Theorem (Nielsen, 1924)

$Aut(F_n)$  (and  $Out(F_n)$ ) are *finitely presented*. A generating set consists of the automorphisms  $\sigma: a_1 \mapsto a_1 a_2, a_i \mapsto a_i$  for  $i > 1$  plus the signed permutations of the  $a_i$ 's.

# Outer space

## Definition

- ▶ graph: finite 1-dimensional cell complex  $\Gamma$ , all vertices have valence  $\geq 3$ .
- ▶ rose  $R = R_n$ : wedge of  $n$  circles.

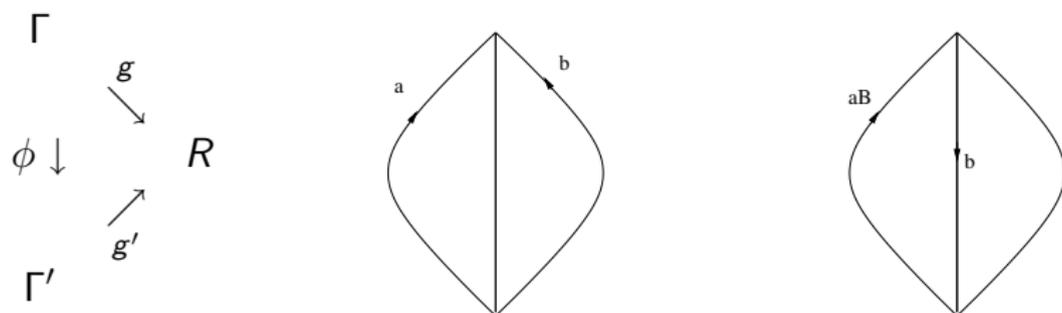


- ▶ marking: homotopy equivalence  $g : \Gamma \rightarrow R$ .
- ▶ metric on  $\Gamma$ : assignment of positive lengths to the edges of  $\Gamma$  so that the sum is 1.

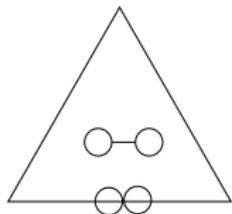
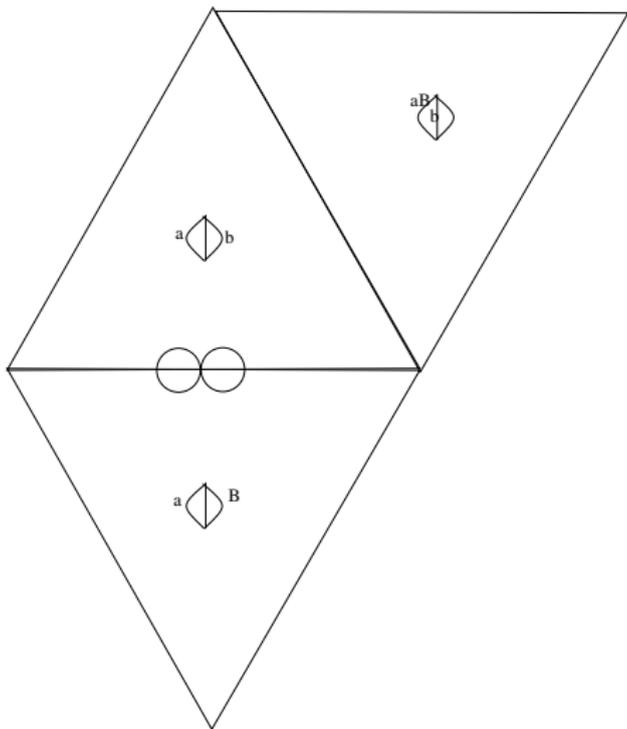
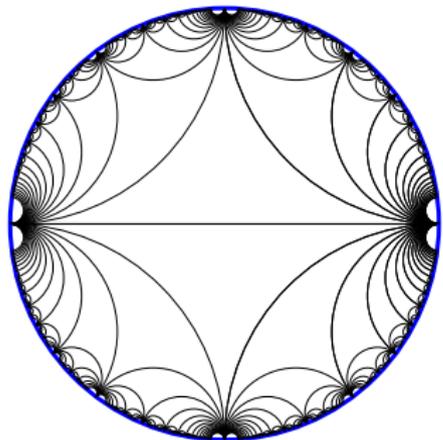
# Outer space

Definition (Culler-Vogtmann, 1986)

**Outer space**  $X_n$  is the space of **equivalence classes** of marked metric graphs  $(g, \Gamma)$  where  $(g, \Gamma) \sim (g', \Gamma')$  if there is an **isometry**  $\phi : \Gamma \rightarrow \Gamma'$  so that  $g'\phi \simeq g$ .



## Outer space in rank 2



Triangles have to be added to edges along the base.

# Outer space

Topology (3 approaches, all equivalent):

- ▶ simplicial, with respect to the obvious decomposition into “simplices with missing faces”.
- ▶  $(g, \Gamma)$  is close to  $(g', \Gamma')$  if there is a  $(1 + \epsilon)$ -Lipschitz map  $f : \Gamma \rightarrow \Gamma'$  with  $g'f \simeq g$ .
- ▶ via length functions: if  $\alpha$  is a conjugacy class in  $F_n$  let  $\ell_{(g, \Gamma)}(\alpha)$  be the length in  $\Gamma$  of the unique immersed curve  $a$  such that  $g(a)$  represents  $\alpha$ . Then, for  $S = \text{set of conjugacy classes}$

$$X_n \rightarrow [0, \infty)^S$$

$$(g, \Gamma) \mapsto (\alpha \mapsto \ell_{(g, \Gamma)}(\alpha))$$

is injective – take the induced topology.

**Theorem (Culler-Vogtmann, 1986)**

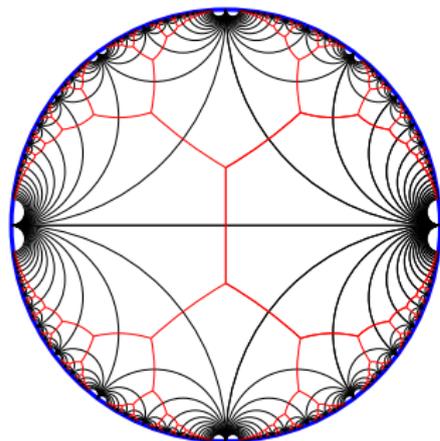
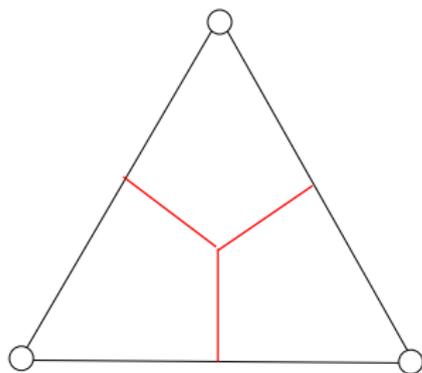
$X_n$  is contractible.

## Action

If  $\phi \in \text{Out}(F_n)$  let  $f : R \rightarrow R$  be a h.e. with  $\pi_1(f) = \phi$  and define

$$\phi(g, \Gamma) = (fg, \Gamma) \quad \Gamma \xrightarrow{g} R_n \xrightarrow{f} R_n$$

- ▶ action is simplicial,
- ▶ point stabilizers are finite.
- ▶ there are finitely many orbits of simplices (but the quotient is not compact).
- ▶ the action is **cocompact** on the **spine**  $SX_n \subset X_n$ .



# Topological properties

Finiteness properties:

- ▶ Virtually finite  $K(G, 1)$  (Culler-Vogtmann 1986).
- ▶  $vcd(Out(F_n)) = 2n - 3$  ( $n \geq 2$ ) (Culler-Vogtmann 1986).
- ▶ every finite subgroup fixes a point of  $X_n$ .

Other properties:

- ▶ every solvable subgroup is finitely generated and virtually abelian (Alibegović 2002)
- ▶ Tits alternative: every subgroup  $H \subset Out(F_n)$  either contains a free group or is virtually abelian (B-Feighn-Handel, 2000, 2005)
- ▶ Bieri-Eckmann duality (B-Feighn 2000)

$$H^i(G; M) \cong H_{d-i}(G; M \otimes D)$$

- ▶ Homological stability (Hatcher-Vogtmann 2004)

$$H_i(Aut(F_n)) \cong H_i(Aut(F_{n+1})) \text{ for } n \gg i$$

- ▶ Computation of stable homology (Galatius, to appear)

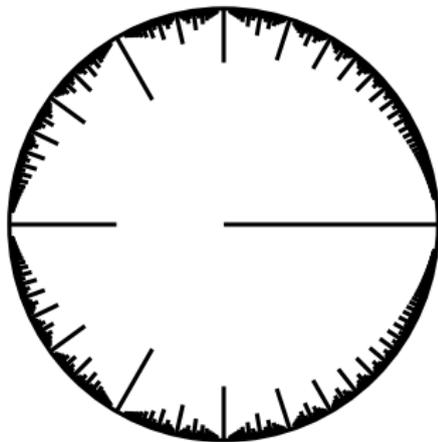
## Dynamical properties

- ▶  $X_n$  can be equivariantly compactified to  $\overline{X_n}$  (Culler-Morgan, 1987), analogous to Thurston's compactification of Teichmüller space via projective measured laminations.



$$X_n \subset [0, \infty)^S \rightarrow P[0, \infty)^S$$

is injective; take the closure.



- ▶ A point of  $X_n$  can be viewed as a free simplicial  $F_n$ -tree; a point in  $\partial X_n = \overline{X_n} \setminus X_n$  is an  $F_n$ -tree (not necessarily free nor simplicial).

## Dynamical properties

- ▶ Points in  $\partial X_n$  can be studied using the Rips machine.
- ▶ Guirardel (2000): action on  $\partial X_n$  does not have dense orbits. He also conjecturally identified the minimal closed invariant set.
- ▶ North-South dynamics for fully irreducible elements (Levitt-Lustig, 2003)

# Dictionary 1

$SL_2(\mathbb{Z})$	$SL_n(\mathbb{Z})$	$MCG(S)$	$Out(F_n)$
Trace	Jordan normal form	Nielsen-Thurston theory	train-tracks
$\mathbb{H}^2$	symmetric space	Teichmüller space	Outer space
hyperbolic (Anosov) element	semi-simple (diagonalizable)	pseudo-Anosov mapping class	fully irreducible automorphism
shear	parabolic	Dehn twist	polynomially growing automorphism

## Dictionary 2

$MCG(S)$	$Out(F_n)$
simple closed curve	primitive conjugacy class
incompressible subsurface	free factor splitting of $F_n$
measured lamination	$\mathbb{R}$ -tree
Thurston's boundary	Culler-Morgan's boundary
attracting lamination for a pseudo-Anosov	attracting tree for a fully irreducible automorphism
measured geodesic current	measured geodesic current
intersection number between measured laminations	length of a current in an $\mathbb{R}$ -tree
curve complex	free factor complex splitting complex

## Lipschitz metric on Outer space

Three metrics on Teichmüller space:

- ▶ Teichmüller metric,
- ▶ Weil-Petersson metric,
- ▶ Thurston's Lipschitz metric.

Only the Lipschitz metric has an analog.

If  $(g, \Gamma), (g', \Gamma') \in X_n$  consider maps  $f : \Gamma \rightarrow \Gamma'$  so that  $g'f \simeq g$  (such  $f$  is the **difference of markings**).

$$\begin{array}{ccc} \Gamma & & \\ & \searrow g & \\ f \downarrow & & R \\ & \nearrow g' & \\ \Gamma' & & \end{array}$$

Consider only  $f$ 's that are linear on edges.

Arzela-Ascoli  $\Rightarrow \exists f$  that minimizes the largest slope, call it  $\sigma(\Gamma, \Gamma')$ .

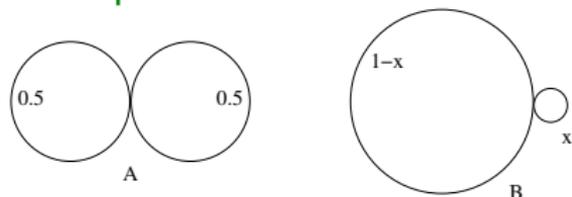
# Lipschitz metric on Outer space

## Definition

$$d(\Gamma, \Gamma') = \log \sigma(\Gamma, \Gamma')$$

- ▶  $d(\Gamma, \Gamma'') \leq d(\Gamma, \Gamma') + d(\Gamma', \Gamma'')$ ,
- ▶  $d(\Gamma, \Gamma') = 0 \iff \Gamma = \Gamma'$ .
- ▶ in general,  $d(\Gamma, \Gamma') \neq d(\Gamma', \Gamma)$ .

## Example



$$d(A, B) = \log \frac{1-x}{0.5} \rightarrow \log 2$$

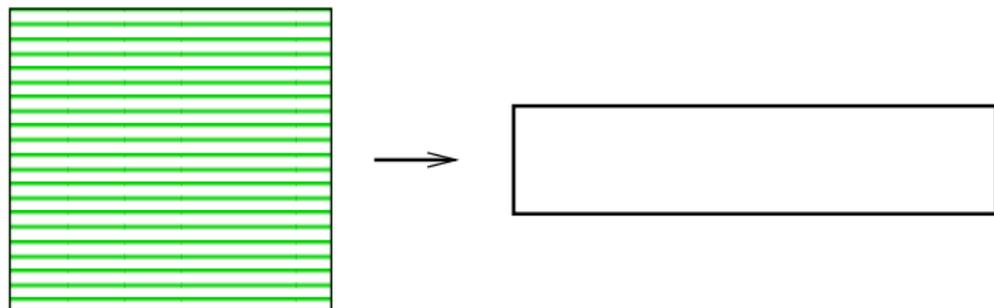
$$d(B, A) = \log \frac{0.5}{x} \rightarrow \infty$$

But [\[Handel-Mosher\]](#) The restriction of  $d$  to the spine is quasi-symmetric, i.e.  $d(\Gamma, \Gamma')/d(\Gamma', \Gamma)$  is uniformly bounded.

## Lipschitz metric on Outer space

### Theorem (Thurston)

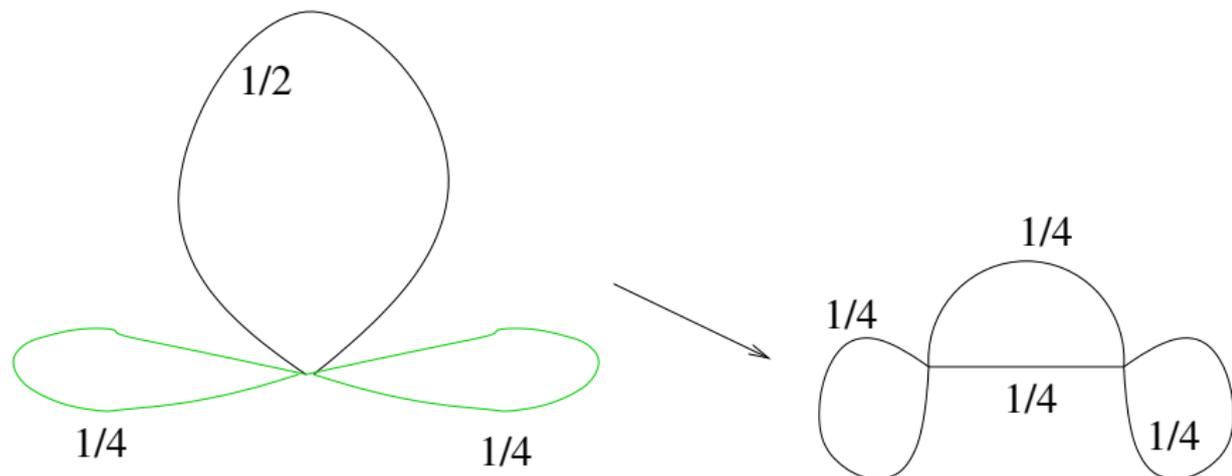
*Let  $f : S \rightarrow S'$  be a homotopy equivalence between two closed hyperbolic surfaces that minimizes the Lipschitz constant in its homotopy class. Then there is a geodesic lamination  $\Lambda \subset S$  so that  $f$  is linear along the leaves of  $\Lambda$  with slope equal to the maximum. Moreover,  $f$  can be perturbed so that in the complement of  $\Lambda$  the Lipschitz constant is smaller than maximal.*



## Lipschitz metric on Outer space

### Theorem

Let  $f : \Gamma \rightarrow \Gamma'$  be a homotopy equivalence between two points of  $X_n$  that minimizes the Lipschitz constant in its homotopy class. Then there is a subgraph  $\Gamma_0 \subset \Gamma$  so that  $f$  is linear along the edges of  $\Gamma_0$  with slope equal to the maximum and  $\Gamma_0$  has a train-track structure with at least two gates at each vertex. Moreover,  $f$  can be perturbed so that in the complement of  $\Gamma_0$  the Lipschitz constant is smaller than maximal.



# Lipschitz metric on Outer space

An application:

Theorem (B-Handel, 1992)

*Every irreducible automorphism  $\phi$  of  $F_n$  has a train-track representative.*

Definition

An automorphism of  $F_n$  is **reducible** if it can be represented as a map  $f : \Gamma \rightarrow \Gamma$  so that  $f(\Gamma_0) \subseteq \Gamma_0$  for some proper subgraph with non-contractible components. Otherwise, it is **irreducible**.

Examples

$$a \mapsto ab$$

$$b \mapsto bab$$

$$c \mapsto c[a, b]$$



$$a \mapsto b, b \mapsto a$$

# Train track maps

## Definition

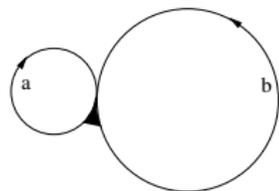
A map  $f : \Gamma \rightarrow \Gamma$  is a **train track map** if it sends vertices to vertices and for every edge  $e$  and  $k \geq 1$  the map  $f^k|_e$  is an immersion.

## Definition

A map  $f : \Gamma \rightarrow \Gamma$  is a **train track map** if it sends vertices to vertices, edges to immersed edge-paths, and the set of directions at every vertex can be divided into equivalence classes (gates) so that

- ▶  $d_1 \not\sim d_2 \Rightarrow f(d_1) \not\sim f(d_2)$ , and
- ▶ for every edge  $e$  every turn in  $f(e)$  consists of inequivalent directions.

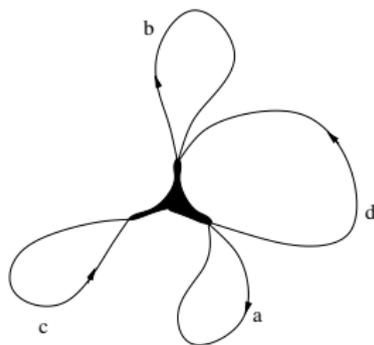
# Train track maps



$$a \mapsto ab$$

$$b \mapsto bab$$

$$|a| = 1, |b| = \lambda - 1$$
$$\lambda^2 - 3\lambda + 1 = 0$$



$$a \mapsto b$$

$$b \mapsto c$$

$$c \mapsto dA$$

$$d \mapsto DC$$

$$|a| = 1, |b| = \lambda$$
$$|c| = \lambda^2, |d| = \lambda^3 - 1$$
$$\lambda^4 - \lambda^3 - \lambda^2 - \lambda + 1 = 0$$

# Train track maps

Train tracks are good for understanding dynamics. For example, assuming  $f$  is a train track representative of  $\phi$ .

- ▶ growth rates:  $|f^i(w)| \sim \lambda^i$  for “most”  $w$ . We write  $\lambda = GR(\phi)$ .
- ▶ fixed subgroups:  $rank(\text{Fix } f_*) \leq n$  (and most of the time it is trivial).
- ▶ dynamics at infinity.

# Proof of existence of train tracks

Proof.

(Sketch) Parallel to Bers' proof of Nielsen-Thurston classification.  
Consider

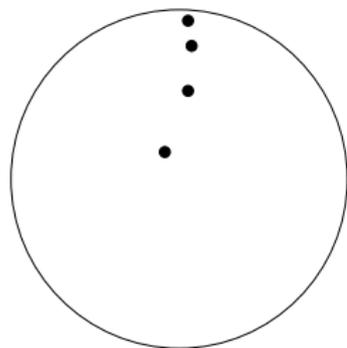
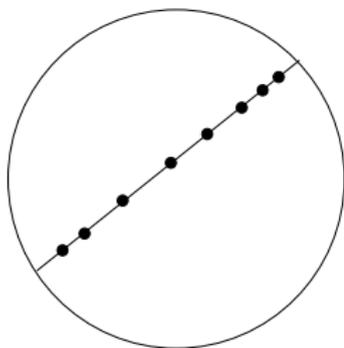
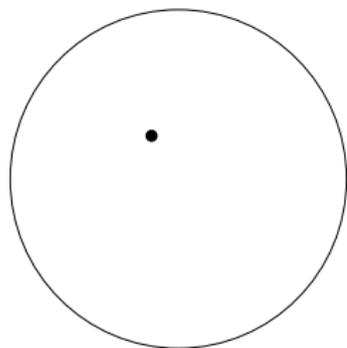
$$\Phi : X_n \rightarrow [0, \infty)$$

$$\Phi(\Gamma) = d(\Gamma, \phi(\Gamma))$$

There are 3 cases:

- ▶  $\inf \Phi = 0$  and is realized. Then there is  $\Gamma$  with  $\phi(\Gamma) = \Gamma$  so  $\phi$  has finite order.
- ▶  $\inf \Phi > 0$  and is realized, say at  $\Gamma$ . Apply above Theorem to  $\phi : \Gamma \rightarrow \phi(\Gamma)$ . Argue that  $\Gamma_0 = \Gamma$  or else  $\phi$  is reducible. Train-track structure on  $\Gamma_0$  can be promoted to give the theorem.
- ▶  $d = \inf \Phi$  is not realized. Let  $\Gamma_i \in X_n$  have  $d(\Gamma_i, \phi(\Gamma_i)) \rightarrow d$ . Argue that projections to  $X_n / \text{Out}(F_n)$  leave every compact set. Thus  $\Gamma_i$  has “thin part” which must be invariant, so  $\phi$  is reducible.

## Proof of existence of train tracks

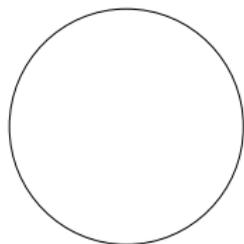


## Axes

This proof also shows that irreducible  $\phi$  has an **axis** with translation length  $\log \lambda$ , where  $\lambda$  is the expansion rate of  $\phi$ .

**Theorem (Yael Algom-Kfir, 2008)**

*Axes of irreducible elements are **strongly contracting**, i.e. the projection of any ball disjoint from the axis to the axis has uniformly bounded size.*



The analogous theorem in Teichmüller space was proved by Minsky (1996).

**Corollary (Yael Algom-Kfir)**

*Fully irreducible elements in  $\text{Out}(F_n)$  are Morse.*



## Intersection numbers

If  $T_1, T_2$  are  $G$ -trees, Scott-Swarup defined the **intersection number**  $I(T_1, T_2)$ .

When  $T_1, T_2$  are dual to scc's (or measured laminations) on a surface, one gets the usual intersection number.

Guirardel reinterpreted  $I(T_1, T_2)$ : there is a canonical  $G$ -invariant subset  $C \subset T_1 \times T_2$  ("Guirardel core") and

$$I(T_1, T_2) = \text{vol}(C/G)$$

# Intersection numbers

## Theorem (Thurston)

*If  $f$  is a pseudo-Anosov homeomorphism then  $I(a, f^n(a)) \sim \lambda^n$  for any scc  $a$ , where  $\lambda = GR(f)$ .*

## Theorem (Behrstock-B-Clay)

*If  $f$  is a fully irreducible automorphism of  $F_n$  then*

$$I(T, f^n(T)) \sim \max\{\lambda, \mu\}^n \text{ or } n\lambda^n$$

*where  $\lambda = GR(f)$  and  $\mu = GR(f^{-1})$ .*

# Constructing fully irreducible automorphisms

Theorem (Thurston 1979, Penner 1988, Hamidi-Tehrani 2002)

*Let  $a, b$  be two scc's that fill a closed hyperbolic surface. Then  $\langle D_a, D_b \rangle$  is free and any element not conjugate to a power of  $D_a$  or  $D_b$  is pseudo-Anosov.*

Theorem (Clay-Pettet, in preparation)

*Let  $S, T$  be two cyclic  $F_n$ -trees that fill  $F_n$ . Then for large  $k > 0$  the group  $\langle D_S^k, D_T^k \rangle$  is free and any element not conjugate to a power of  $D_S$  or  $D_T$  is fully irreducible.*

# Curve complex

## Definition (Harvey)

Let  $\Sigma$  be a compact surface. The **curve complex**  $\mathcal{C}(\Sigma)$  is the simplicial complex whose vertices are isotopy classes of essential nonperipheral simple closed curves in  $\Sigma$  and simplices correspond to collections that can be represented by pairwise disjoint curves.



# Curve complex

We would like to have an analog of the following:

**Theorem (Masur-Minsky, 1999)**

*The curve complex is  $\delta$ -hyperbolic.*

The candidates for the curve complex are:

- ▶ the splitting complex, and
- ▶ the free factor complex.

**Conjecture**

*They are both  $\delta$ -hyperbolic.*

## Curve complex

There are constructions of  $\delta$ -hyperbolic  $Out(F_n)$ -graphs (or even quasi-trees) [B-Feighn 2008, B-Bromberg-Fujiwara 2009], but they depend on some choices. They are good enough for some applications:

- ▶  $H_b^2(Out(F_n); \mathbb{R})$  is infinite-dimensional.
- ▶ There are arbitrarily large balls in the Cayley graph of  $Out(F_n)$  consisting of fully irreducible automorphisms.