

# Algebraic Heun operator and its applications

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# Bispectral pairs

## Formal definition

The pair of operators  $X, Y$  which satisfy AW(3) algebra relations

$$[X, Y] = Z,$$

$$[Y, Z] = -2\nu YXY + A_2 Y^2 + A_1 \{X, Y\} + BY + C_1 X + E_1,$$

$$[Z, X] = -2\nu XYX + A_1 X^2 + A_2 \{X, Y\} + BX + C_2 Y + E_2,$$

$A_{1,2}, C_{1,2}, E_{1,2}, B, \nu$  are **structure constants** of the AW-algebra (Granovskii, Lutzenko, AZ (1992)).

The Jacobi identity

$$[[X, Y], Z] + [[Y, Z], X] + [Z, X], Y = 0$$

holds for AW-algebra.

## Casimir operator

$$Q = Z^2 - \nu (XY^2X + YX^2Y) + (2 + \nu) (A_1XYX + A_2YXY) + \text{quadratic terms}$$

commutes with generators

$$[Q, X] = [Q, Y] = [Q, Z] = 0$$

## Affine property:

change

$$X \rightarrow \alpha_1 X + \alpha_2, Y \rightarrow \beta_1 Y + \beta_2$$

leads to the same algebra with "shifted" parameters

## Mutual tridiagonality

Assume that  $X, Y$  are finite-dimensional and that  $e_n$  is eigenbasis for  $X$  and  $d_n$  eigenbasis for  $Y$ :

$$Xe_n = \lambda_n e_n, \quad Yd_n = \mu_n d_n, \quad n = 0, 1, 2, \dots, N$$

Then

$$Xd_n = a_{n+1}d_{n+1} + b_nd_n + a_nd_{n-1}, \quad Ye_n = \xi_{n+1}e_{n+1} + \eta_ne_n + \xi_ne_{n-1}$$

## Eigenbases expansions

$$e_s = \sum_{n=0}^N \sqrt{w_s} \varphi_n(\lambda_s) d_n, \quad d_n = \sum_{s=0}^N \sqrt{w_s} \varphi_n(\lambda_s) e_s,$$

where  $\varphi_n(x)$  are orthonormal polynomials defined by 3-term recurrence relation

$$a_{n+1}\varphi_{n+1}(x) + b_n\varphi_n(x) + a_n\varphi_{n-1}(x) = x\varphi_n(x), \quad \varphi_{-1} = 0, \varphi_0 = 1$$

These polynomials are orthogonal

$$\sum_{s=0}^N w_s \varphi_n(\lambda_s) \varphi_m(\lambda_s) = \delta_{nm}$$

**Dual** orthonormal polynomials  $\chi_n(x)$

$$\xi_{n+1}\chi_{n+1}(x) + \eta_n\chi_n(x) + \xi_n\chi_{n-1}(x) = x\chi_n(x), \quad \chi_{-1} = 0, \chi_0 = 1$$

They are orthogonal

$$\sum_{s=0}^N \tilde{w}_s \chi_n(\mu_s) \chi_m(\mu_s) = \delta_{nm}$$

with respect to dual weights  $\tilde{w}_s$

Dual expansion formulas

$$d_s = \sum_{n=0}^N \sqrt{\tilde{w}_s} \chi_n(\mu_s) e_n, \quad e_n = \sum_{s=0}^N \sqrt{\tilde{w}_s} \chi_n(\mu_s) d_s$$

Comparison leads to **Leonard duality**

$$\sqrt{w_s} \varphi_n(\lambda_s) = \sqrt{\tilde{w}_n} \chi_s(\mu_n)$$

Equivalently, this means that polynomials  $\varphi_n(x)$  satisfy **2nd-degree difference equation**

$$A(s) (\varphi_n(\lambda_{s+1}) - \varphi_n(\lambda_s)) + C(s) (\varphi_n(\lambda_{s-1}) - \varphi_n(\lambda_s)) = \lambda_n \varphi_n(\lambda_s)$$

In the infinite-dimensional case  $N = \infty$  there are several possibilities for duality property.

The "classical" duality means that orthogonal polynomials  $P_n(x)$  satisfy both the recurrence relation

$$P_{n+1}(x) + b_n P_n(x) + u_n P_{n-1}(x) = x P_n(x)$$

and 2nd-order differential equation

$$F_2(x) P_n''(x) + F_1(x) P_n'(x) + F_0 P_n(x) = \lambda_n P_n(x)$$

where  $\deg(F_i) \leq i$ .

For generic (Askey-Wilson) duality the polynomials satisfy the difference equation

$$A(s) (P_n(x(s+1)) - P_n(x(s))) + C(s) (P_n(x(s-1)) - P_n(x(s))) = \lambda_n P_n(x(s))$$

where  $x_s$  is specific greed (AW-greed)

Main statement:

All dual orthogonal polynomials are described by AW-algebra

"Direct picture":

$$X = x(s), \quad Y = A(s)(T^+ - I) + C(s)(T^- - I)$$

$T^\pm$  are shift operators

$$T^\pm f(s) = f(s \pm 1)$$

"Dual picture"

$$Xe_n = e_{n-1} + b_n e_n + u_{n+1} e_{n+1}, \quad Ye_n = \lambda_n e_n$$



## Example: Jacobi algebra

$X$  is multiplication by  $x$ ,  $Y$  is Gauss hypergeometric operator

$$X = x, \quad Y = x(1-x)\partial_x^2 + (\alpha + 1 - (\alpha + \beta + 2)x)\partial_x$$

The dual picture

$$Xe_n = e_{n-1} + b_n e_n + u_{n+1} e_{n+1}, \quad Ye_n = \lambda_n e_n$$

where

$$\lambda_n = -n(n + \alpha + \beta + 1)$$

This corresponds to Jacobi polynomials  $P_n^{(\alpha, \beta)}(x)$

$$YP_n^{(\alpha, \beta)}(x) = -n(n + \alpha + \beta + 1)P_n^{(\alpha, \beta)}(x)$$

and

$$XP_n^{(\alpha, \beta)}(x) = P_{n+1}^{(\alpha, \beta)}(x) + b_n P_n^{(\alpha, \beta)}(x) + u_n P_{n-1}^{(\alpha, \beta)}(x)$$

## Quadratic Jacobi algebra (Granovskii, Lutzenko, AZ, 1992)

$$[X, Y] = Z, \quad [Y, Z] = a_2\{X, Y\} + dY + c_2X + e_2, \quad [Z, X] = a_2X^2 + dX$$

structures constants are

$$a_2 = -2, \quad d = 2, \quad c_2 = (\alpha + \beta)(\alpha + \beta + 2), \quad e_2 = -(\alpha + 1)(\alpha + \beta).$$

Casimir operator

$$Q = a_2\{X^2, Y\} + Z^2 + (a_2^2 + c_2)X^2 + d\{X, Y\} + (da_2 + 2e_2)Y$$

is reduced to constant

$$Q f(x) = (\alpha^2 - 1)f(x)$$

## q-algebra and q-polynomials

When  $q \neq 0, \pm 1$  the parameter  $\nu \neq 0$ . New parameter  $q$  instead of  $\nu$

$$2\nu = q + q^{-1} - 2$$

Then AW-algebra can be presented in terms of two relations (Terwilliger, 2000)

$$X^2Y + YX^2 - (q + q^{-1})XYX = A_1Y + BX + C_1,$$

$$Y^2X + XY^2 - (q + q^{-1})YXY = A_2X + BY + C_2$$

Another form in terms of "linear q-algebra" (Granovskii, AZ 1993):

$$[X, Y]_q = Z, [Y, Z]_q = A_1Y + BX + C_1, [Z, X]_q = A_2Y + BX + C_2$$

where  $[X, Y]_q = XY - qYX$  is "q-mutator"

$Z_3$  form (Wiegmann, Zabrodin, 1995, Terwilliger, 2004)

$$[X, Y]_q = a_3 Z + \omega_3, [Y, Z]_q = a_1 X + \omega_1, [Z, X]_q = a_2 Y + \omega_2$$

All  $q$ -polynomials from Askey scheme are described by representations of above algebra.

In case if  $a_1 a_2 a_3 \neq 0$  it is possible to put

$$a_1 = a_2 = a_3 = 1$$

Then there are 4 independent parameters:  $\omega_1, \omega_2, \omega_3$  and the value  $Q$  of the Casimir operator. They correspond to 4 parameters of Askey-Wilson polynomials.

## Degenerations

When some parameters  $a_i$  are zero then the Aksey-Wilson polynomials degenerate to other polynomials from the Askey scheme.

For example when  $a_1 = 0$  one has **big  $q$ -Jacobi** polynomials (or  **$q$ -Hahn** polynomials in finite-dimensional case).

The case  $a_1 = \omega_1 = 0$  corresponds to **little  $q$ -Jacobi** polynomials etc.

The maximal degeneration  $a_1 = a_2 = a_3 = 0$  leads to the algebra

$$[X, Y]_q = 1, [Y, Z]_q = 1, [Z, X]_q = 1$$

Each relation is the  **$q$ -oscillator**:  $XY - qYX = 1$ . This algebra is called equitable  $sl_q(2)$  algebra (Terwilliger, 2005)

## Bannai-Ito algebra

Put  $q = -1$ :

$$\{X, Y\} = a_3 Z + \omega_3, \quad \{Y, Z\} = a_1 X + \omega_1, \quad \{Z, X\} = a_2 Y + \omega_2$$

Describes **Bannai-Ito polynomials** (=limit  $q \rightarrow -1$  of Askey-Wilson polynomials)

**Degenerations:**  $a_1 = 0$  corresponds to **big -1 Jacobi** polynomials (L.Vinet, AZ, 2010);

$a_1 = \omega_1 = 0$  corresponds to **little -1 Jacobi** polynomials.

# Applications

1. Structure relations of polynomials form Askey scheme
2. Explanation of duality property
3.  $6j$ - and  $3j$ -symbols
4. Application to completely integrable systems
5. DAHA and nonsymmetric AW-polynomials

# Algebraic Heun pencil

Let  $X, Y$  - bispectral pair. Consider the operator

$$M = \tau_1 XY + \tau_2 YX + \tau_3 X + \tau_4 Y + \tau_0 \mathcal{I}$$

## Properties

- (i)  $M$  is generic **bilinear** operator with respect to  $X$  and  $Y$ .
- (ii)  $M$  possesses a **bispectrality** property



Assume that  $X$  and  $Y$  are finite-dimensional. Then

$$Xe_n = \lambda_n e_n, \quad Yd_n = \mu_n d_n, \quad n = 0, 1, 2, \dots, N$$

and

$$Xd_n = a_{n+1}d_{n+1} + b_n d_n + a_n d_{n-1}, \quad Ye_n = \xi_{n+1}e_{n+1} + \eta_n e_n + \xi_n e_{n-1}$$

It is clear that  $M$  is 3-diagonal with respect to **both** bases  $e_n$  and  $d_n$ :

$$Me_n = \{e_{n-1}, e_n, e_{n+1}\}, \quad Md_n = \{d_{n-1}, d_n, d_{n+1}\}$$

Nomura and Terwilliger (2007) proved that the most general operator with this (bispectrality) property coincides with  $M$ .

Consider another example: **Jacobi algebra**

$$X = x, \quad Y = x(1-x)\partial_x^2 + (\nu_1 x + \nu_2)\partial_x$$

The Jacobi polynomials are eigenfunctions of the operator  $Y$

$$Y P_n^{(\omega_1, \omega_2)}(x) = \lambda_n P_n^{(\omega_1, \omega_2)}(x)$$

The operator  $X$  is 3-diagonal:

$$X P_n(x) = P_{n+1}(x) + b_n P_n(x) + u_n P_{n-1}(x)$$

The operator  $M$  is 2nd-order differential operator which is tridiagonal with respect to Jacobi polynomials

$$M P_n(x) = \xi_{n+1} P_{n+1}(x) + \eta_n P_n(x) + \zeta_n P_{n-1}(x)$$

where

$$\xi_n = \tau_1 \lambda_{n-1} + \tau_2 \lambda_n + \tau_3,$$

$$\zeta_n = \tau_1 \lambda_n + \tau_2 \lambda_{n-1} + \tau_3,$$

$$\eta_n = (\tau_1 + \tau_2)b_n + \tau_3 b_n + \tau_4 \lambda_n + \tau_0$$

## Main statements (A.Grünbaum, L.Vinet, AZ, 2016)

**Proposition 1.** The most general 2nd-order differential operator which is 3-diagonal on Jacobi polynomials coincides with  $M$ .

**Proposition 2.** The operator  $M$  coincides with generic Heun operator.

# Heun equation and Heun operator

## Heun equation

$$\psi''(x) + \left( \frac{\gamma}{x} + \frac{\delta}{x-1} + \frac{\varepsilon}{x-d} \right) \psi'(x) + \frac{\alpha\beta x - q}{x(x-1)(x-d)} \psi(x) = 0$$

## Heun operator

$$H = x(x-1)(x-d)\partial_x^2 + x(x-1)(x-d) \left( \frac{\gamma}{x} + \frac{\delta}{x-1} + \frac{\varepsilon}{x-d} \right) \partial_x + \alpha\beta x - q$$

Correspondence of parameters

$$\gamma = \nu_2, \quad \delta = -\nu_1 - \nu_2, \quad \varepsilon = 2\tau_2, \quad d = -\tau_4,$$

$$\alpha\beta = -\tau_3 - \nu_1\tau_2, \quad q = -\lambda - \tau_2\nu_2$$

**Remark:** necessarily  $\tau_1 + \tau_2 \neq 0$ , otherwise the operator  $M$  becomes degenerate (confluent) Heun operator. Hence one can put

$$\tau_1 + \tau_2 = 1.$$

## Racah-Heun algebra

$$[Y, M] = Z,$$

$$[M, Z] = \alpha_1\{Y, M\} + \alpha_2 M^2 + \gamma_1 Y + \delta M + \kappa Y^2 + \epsilon_1,$$

$$[Z, Y] = \alpha_2\{Y, M\} + \alpha_1 Y^2 + \gamma_2 M + \delta Y + \epsilon_2.$$

Resembles Racah algebra. The only difference - the term  $\kappa Y^2$ , where

$$\kappa = 6\tau_4(\tau_4 + 1)$$

When  $\tau_4 = 0$  or  $\tau_4 = -1$  this algebra becomes the ordinary Racah algebra. Otherwise this is **non-self-dual** algebra. It describes the Heun operator  $M$ .

# Tridiagonalization of Jacobi polynomials and Racah-Wilson polynomials

Consider special case  $\tau_4 = 0$ .

$$M = \tau_1 XY + \tau_2 YX + \tau_3 X + \tau_0 \mathcal{I}$$

Again one can put  $\tau_1 + \tau_2 = 1$ .

The operator  $M$  can be reduced to hypergeometric

$$M = x^2(1-x) \partial_x^2 + x(\alpha + 1 + 2\tau_2 - (\alpha + \beta + 2 + 2\tau_2)x) \partial_x \\ - (\tau_2(\alpha + \beta + 2) - \tau_3)x + (\alpha + 1)\tau_2 + \tau_0.$$

## Duality between $L$ and $M$

$$L = \tau_1 X^{-1} M + \tau_2 M X^{-1} - (\tau_0 + 2\tau_1 \tau_2) X^{-1} + 2\tau_1 \tau_2 - \tau_3$$

where

$$X^{-1} f(x) = x^{-1} f(x)$$

# Eigenfunctions

$$M \psi_n(x) = \Lambda_n \psi_n(x)$$

where

$$\psi_n(x) = x^{\nu_2-1} P_n^{(\tilde{\alpha}, \tilde{\beta})}(1/x),$$

$$\Lambda_n = n(n-1) + a_2 n + d_2$$

## Expansion

$$\psi(x; \Lambda) = \sum_{k=0}^{\infty} G_k(\Lambda) P_k^{(\alpha, \beta)}(x)$$

Put

$$G_k(\Lambda) = G_0(\Lambda) \Xi_k Q_k(\Lambda)$$

Then  $Q_n(\Lambda)$  are orthogonal polynomials satisfying

$$E_n^{(1)}Q_{n+1}(\Lambda) + E_n^{(2)}Q_n(\Lambda) + E_n^{(3)}Q_{n-1}(\Lambda) = \Lambda Q_n(\Lambda)$$

where coefficients  $E_n^{(i)}$  coincide with those for Racah-Wilson polynomials.

This leads to

**Proposition.** **Racah-Wilson polynomials can be obtained by tridiagonalization of Jacobi polynomials** (Genest, Ismail, Vinet, AZ, 2016)

This yields integral representation of Racah-Wilson polynomials (Koornwinder, 1985)

$$Q_n(\Lambda) = \frac{1}{h_n G_0(\Lambda) \Xi_n} \int_0^1 \psi(x; \Lambda) P_k^{(\alpha, \beta)}(x) x^\alpha (1-x)^\beta dx.$$



## Finite-dimensional reduction

Condition  $\nu_2 = N + 1$  means that the operator  $M$  preserves space of polynomials of degree  $\leq N$ . This leads to finite-dimensional reduction. Then eigenfunctions of  $M$  are polynomials

$$\psi_n(x) = x^N P_n^{(-\alpha-\beta-\nu_1-N-1,\beta)}(1/x)$$

Expansion

$$\psi_n(x) = \sum_{k=0}^N R_{nk} P_k^{(\alpha,\beta)}(x)$$

leads to Racah polynomials  $R_{nk}$ .

# Heun-Hahn operator

2nd order difference operator

$$W = A(x)T^+ + B(x)T^- + C(x)\mathcal{I}$$

where  $T^\pm f(x) = f(x \pm 1)$ .

**The main condition:**

$$W\pi_n(x) = \pi_{n+1}(x)$$

What is the most general operator  $W$  on the grid  $x = 0, 1, 2, \dots, N$  with this property?

Answer:

$$A(x) = (x - N)(\kappa x^2 + \mu_1 x + \mu_0), \quad B(x) = x(\kappa x^2 + \nu_1 x + \nu_0),$$

$$C(x) = -A_1(x) - A_2(x) + r_1 x + r_0$$

# Main statement

Operator  $W$  coincides with algebraic Heun-Hahn operator

$$W = M = \tau_1 XY + \tau_2 YX + \tau_3 X + \tau_4 Y + \tau_0 \mathcal{I}$$

with  $Y$  difference operator for **Hahn** polynomials

## Consequence

Operator  $W$  is 3-diagonal in Pochhammer basis

$$W(-x)_n = \{(-x)_{n+1}, (-x)_n, (-x)_{n-1}\}$$

# Heun-Racah algebra

Pair of operators  $Y, W$  satisfy commutation relations

$$[Y, [Y, W]] = g_1 Y^2 + g_2 \{Y, W\} + g_3 Y + g_4 W + g_5 \mathcal{I}$$

and

$$[W, [W, Y]] = e_1 Y^2 + e_2 Y^3 + g_2 W^2 + g_1 \{Y, W\} + g_3 W + g_6 Y + g_7$$

Mostly Racah algebra. But - two extra-terms.

$$e_2 = 2(\tau_1 + \tau_2)^2$$

$$e_1 = 6\tau_4^2 + 3(\tau_1 + \tau_2)(\tau_3 + (2N + \beta - \alpha)\tau_4) - \\ (\tau_1^2 + \tau_2^2)(3N(\alpha + 1) - 2) - 2(3N(\alpha + 1) - 5)\tau_1\tau_2$$

What happens when  $e_1 = e_2 = 0$ ? Racah algebra. There are two possible cases:  $W_1$  and  $W_2$

$$W_1 = \frac{[X, Y]}{2} + \gamma X - \frac{Y}{2} + \varepsilon \mathcal{I}$$

$$W_2 = -\frac{[X, Y]}{2} - \gamma X - \frac{Y}{2} - \varepsilon \mathcal{I}$$

Moreover

$$Y + W_1 + W_2 = 0$$

This means that  $Y, W_1, W_2$  form **equitable Racah algebra**

Operators  $W_1, W_2$  becomes **first-order** operators

$$W_1 = A_1(x)T^+ + C_1(x), \quad W_2 = A_2(x)T^- + C_2(x)$$

## Quasi-exactly solvable problems

Let  $M$  is a tridiagonal operator (infinite-dimensional, in general)

$$Me_n = A_{n+1}e_{n+1} + B_n e_n + C_n e_{n-1}, \quad n = 0, 1, 2, \dots$$

When  $M$  commutes with the projection operator  $\pi_J$ ?

$$\pi_J e_n = \begin{cases} e_n, & \text{if } n \leq J \\ 0, & \text{if } n > J \end{cases}$$

Operator  $\pi_J$  projects the infinite-dimensional space  $\{e_0, e_1, \dots\}$  onto finite-dimensional subspace  $e_0, e_1, \dots, e_J$ .

Commutation condition  $[M, \pi_J] = 0$  means restriction of the operator  $M$  onto finite-dimensional subspace.

## Necessary and sufficient conditions

$$A_{J+1} = C_{J+1} = 0$$

## Application to algebraic Heun operator

$$M = \tau_1 XY + \tau_2 YX + \tau_3 X + \tau_4 Y + \tau_0 \mathcal{I}$$

$$Xe_n = \lambda_n e_n, \quad Ye_n = \xi_{n+1} e_{n+1} + \eta_n e_n + \xi_n e_{n-1}$$

The recurrence coefficients of  $M$  are

$$A_n = (\tau_1 \lambda_n + \tau_2 \lambda_{n-1} + \tau_4) \xi_n,$$

$$C_n = (\tau_1 \lambda_{n-1} + \tau_2 \lambda_n + \tau_4) \xi_n,$$

$$B_n = (\tau_1 + \tau_2) \eta_n \lambda_n + \tau_3 \lambda_n + \tau_4 \eta_n + \tau_0$$

## Commutativity conditions

$$\tau_1 \lambda_{J+1} + \tau_2 \lambda_J + \tau_4 = 0, \quad \tau_1 \lambda_J + \tau_2 \lambda_{J+1} + \tau_4 = 0$$

Solution of these condition

$$\tau_1 = \tau_2, \quad \tau_1 (\lambda_J + \lambda_{J+1}) + \tau_4 = 0.$$

It is always possible to find  $\tau_1, \tau_4$  to fulfill this condition.

The operator

$$\tilde{M} = \pi_J M \pi_J = M \pi_J = \pi_J M$$

is the restriction of the operator  $M$  to finite-dimensional subspace.

Simple example: Jacobi algebra. In this case  $M$  is the ordinary Heun operator and  $\tilde{M}$  corresponds to **quasi-exactly solvable** problems (Turbiner, 1988). This leads to potentials which admits exact solution of Schrödinger equation for several levels  $n = 0, 1, \dots, J$ .



## Time and band limiting

What happens if one tries to restrict simultaneously both bispectral operators  $X$  and  $Y$ ?

Consider finite-dimensional case:  $n = 0, 1, \dots, N$ . Two eigenbases  $e_n$  and  $d_n$  and two projectors  $\pi_1$  and  $\pi_2$

$$\pi_1 e_n = \begin{cases} e_n, & \text{if } n \leq J_1 \\ 0, & \text{if } n > J_1 \end{cases}$$

$$\pi_2 d_n = \begin{cases} d_n, & \text{if } n \leq J_2 \\ 0, & \text{if } n > J_2 \end{cases}$$

They satisfy

$$\pi_1^2 = \pi_1, \quad \pi_2^2 = \pi_2.$$

If  $J_1 = N$  then  $\pi_1 = \mathcal{I}$ . Similarly, if  $J_2 = N$  then  $\pi_2 = \mathcal{I}$ .

Combination of projectors yields two **restriction operators**:

$$V_1 = \pi_1 \pi_2 \pi_1 = K_1 K_2, \quad V_2 = \pi_2 \pi_1 \pi_2 = K_2 K_1,$$

where

$$K_1 = \pi_1 \pi_2, \quad K_2 = \pi_2 \pi_1$$

Operators  $V_1$  and  $V_2$  are symmetric and hence are diagonalizable and have the same eigenvalues (may be degenerate).

When  $J_1 = J_2 = N$  both  $V_1$  and  $V_2$  are identity operators  $V_1 = V_2 = I$ . When only  $J_2 = N$  and  $J_1$  arbitrary then  $V_1 = V_2 = J_1$  and the operator  $V_1$  has  $J_1 + 1$  eigenvalues equal to 1 and  $N - J_1$  zero eigenvalues.

But what are eigenvectors and eigenvalues of operators  $V_1, V_2$  for arbitrary  $J_1, J_2$ ?

The operators  $V_1, V_2$  are **highly nonlocal**. For example:

$$V_{ik}^{(1)} = \sum_{s=0}^{J_2} \tilde{w}_s \chi_i(\mu_s) \chi_k(\mu_s)$$

Hence the problem of finding eigenvectors and eigenvalues is **very complicated**.

**Main idea** - to find a **3-diagonal matrix**  $M$  which commutes with both  $V_1$  and  $V_2$

$$[M, V_1] = [M, V_2] = 0.$$

Because eigenvalue problem for 3-diagonal matrices is much easier to solve.

This idea back to seminal papers by Slepian, Landau, Pollak in 50-ths on time-band limiting. Developed by A. Grünbaum and his colleagues in 80-ths

Try algebraic Heun operator

$$M = \tau_1 \{X, Y\} + \tau_3 X + \tau_4 Y + \tau_0$$

such that  $M$  commutes with both projectors

$$[M, \pi_1] = [M, \pi_2] = 0$$

Then  $M$  will also commute with  $V_1, V_2$ .

This leads to restrictions on coefficients  $\tau_3, \tau_4$

$$\tau_1 (\lambda_{J_1} + \lambda_{J_1+1}) + \tau_4 = 0, \quad \tau_1 (\mu_{J_2} + \mu_{J_2+1}) + \tau_3 = 0$$

It is **always** possible to find coefficients  $\tau_3, \tau_4$ .

The **only exception** - Bannai-Ito spectrum:

$$\lambda_n = (-1)^n (n\alpha + \beta)$$

## BTL for anti-Krawtchouk polynomials

For BI polynomials the above method does not work - there are no nontrivial 3-diagonal commuting operator  $M$  commuting with  $V_1, V_2$ .

Instead, one can try **5-diagonal** operator  $M$ .

Consider special example: anti-Krawtchouk polynomials (V.Genest, L.Vinet, AZ, 2014).

### Anti-spin algebra

$$\{L_1, L_2\} = L_3, \quad \{L_2, L_3\} = L_1, \quad \{L_3, L_1\} = L_2,$$

Irreducible representation with diagonal  $L_2$

$$L_2 e_n = x_n e_n, \quad n = 0, 1, \dots, N$$

Spectrum of BI type

$$x_n = (-1)^n (n + 1/2)$$

The operator  $L_1$  is 3-diagonal

$$L_1 e_n = a_{n+1} e_{n+1} + b_n e_n + a_n e_{n-1}$$

with

$$a_n^2 = \frac{(N+1)^2 - n^2}{4}, \quad n = 1, 2, \dots, N$$

and

$$b_0 = (-1)^N (N+1)/2, \quad b_n = 0, \quad n = 1, 2, \dots, N$$

Dual basis

$$L_1 d_n = x_n d_n, \quad L_2 d_n = a_{n+1} d_{n+1} + b_n d_n + a_n d_{n-1}$$

Casimir operator

$$Q = L_1^2 + L_2^2 + L_3^2$$

takes the value

$$Q = (N + 1/2)(N + 3/2)$$

Search commuting operator  $M$  in terms of quartic and cubic expressions

$$M = \{L_1^2, L_2^2\} + \alpha_1\{L_1^2, L_2\} + \alpha_2\{L_2^2, L_1\} + \\ \alpha_3L_1^2 + \alpha_4L_2^2 + \alpha_5L_1 + \alpha_6L_2$$

$M$  is **pentadiagonal**

$$Me_n = G_n e_{n-2} + F_n e_{n-1} + H_n e_n + F_{n+1} e_{n+1} + G_{n+2} e_{n+2}$$

Projector

$$\pi_{N_1} e_n = \begin{cases} e_n, & n \leq N_1 \\ 0, & n > N_1 \end{cases} .$$

Commutativity  $[M, \pi_{N_1}] = 0$  holds iff

$$G_{N_1+1} = G_{N_1+2} = F_{N_1+1} = 0$$

Similarly, "dual" projector

$$\pi_{N_2} d_n = \begin{cases} d_n, & n \leq N_2 \\ 0, & n > N_2 \end{cases}.$$

Commutativity  $[M, \pi_{N_2}] = 0$  conditions

$$\tilde{G}_{N_2+1} = \tilde{G}_{N_2+2} = \tilde{F}_{N_2+1} = 0$$

6 equations for 6 unknowns. Solution:

$$\alpha_1 = (-1)^{N_1}, \alpha_2 = (-1)^{N_2}, \alpha_3 = -1 - \kappa_1,$$

$$\alpha_4 = -1 - \kappa_2, \alpha_5 = (-1)^{N_2} \kappa_1, \alpha_6 = (-1)^{N_1} \kappa_2,$$

where

$$\kappa_1 = 2N_1^2 + 4N_1 + 5/2, \quad \kappa_2 = 2N_2^2 + 4N_2 + 5/2.$$



## Perspectives and open problems

- **Classification of algebraic Heun operators**. Hahn (1971) and Takemura (2017) considered **q-version** of Heun operator. It is observed that it coincides with algebraic Heun operator for **little q-Jacobi** polynomials.
- **Elliptic Heun operator**. Krichever and Zabrodin (1995) constructed **elliptic Lamé** equation on the base of Sklyanin algebra. How to construct corresponding Heun pencil?
- **Multivariate analogs of AHO**. Ruijsenaars and Van Diejen considered multivariate exactly solvable models related with generalized Heun operators. What is their algebraic description?

- **Heun pencil and biorthogonal functions.** The AHO is a **linear pencil**

$$M = \tau_1 XY + \tau_2 YX + \tau_3 X + \tau_4 Y + \tau_0$$

Consider the equation

$$M\psi = 0$$

If eigenvalue  $\lambda = -\tau_0$  then we have the **ordinary** eigenvalue problem. Other choices lead to **generalized eigenvalue problem**

$$M_1\psi = \lambda M_2\psi$$

where  $M_1, M_2$  - 3-diagonal operators. This leads to theory of **biorthogonal rational functions** (AZ, 1999). What are the most general BRF arising in this problem?

- **Applications to integrable systems.** It is known that after separation of variables for some integrable systems (i.e. Kepler problem) in elliptic coordinates the Heun operators appear as integrals. How to understand this phenomenon from algebraic point of view?
- **Classical analogs of AHO.** It is known that AW-algebra has its classical version with Poisson brackets instead of commutators (A.Korovnichenko, AZ, 2000). What is classical version of AHO?

Thank you for your attention!