

Holographic QCD at finite (imaginary) chemical potential

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Contents:

- The Roberge-Weiss phase diagram.
- Holographic QCD & chemical potential: context.
- Holographic QCD & chemical potential: results.
- Conclusions and perspectives.

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The Roberge-Weiss phase diagram

QCD with imaginary baryon chemical potential μ_B

- Motivation: sign problem in lattice QCD, real μ_B challenging.
- Analytic continuation from **imaginary μ_B** .
- Rich phase diagram: Roberge-Weiss [Roberge-Weiss 1986].
- $\theta_B \equiv \mu_B/T_c(0)$ is an angle: $Z = \text{Tr}(e^{-\beta H + i\theta_B N_B})$.
- Free energy density f at $T > T_c$:
 - Periodic: $f(\theta_B) = f(\theta_B + 2\pi k)$.
 - Depends on θ_B/N_c .

The Roberge-Weiss phase diagram

QCD with imaginary baryon chemical potential μ_B

- For N_f massless Weyl (anti)fundamental fermions:

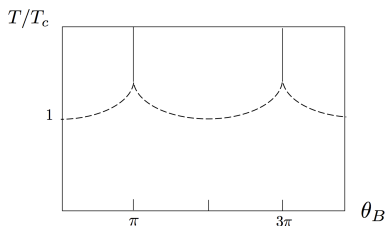
$$f(\theta_B) = \frac{N_c N_f}{12} T^4 \min_k \left(\frac{\theta_B - 2\pi k}{N_c} \right)^2$$

- Large T : f has first order discontinuities at $\theta_B = (2k + 1)\pi$.
- Critical temperature for deconfinement:

$$\frac{T_c(\theta_B)}{T_c(0)} \sim 1 + a\theta_B^2 \quad a > 0$$

The Roberge-Weiss phase diagram

Phase diagram:



Phase diagram in holography?

- Top-down model closest to (planar) QCD (“Holographic QCD”): Witten-Sakai-Sugimoto [Witten 1998, Sakai-Sugimoto 2004].
- $D4 - D8/\bar{D}8$: non supersymmetric, confining theory with only quarks in fundamental.

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$D4$ -branes wrapped on $S_{x_4}^1$, at low energy:
4d YM theory + (adjoint) KK modes [Witten 1998]

Dual gravity solution:

$$ds^2 = \left(\frac{u}{R}\right)^{3/2} [dx_\mu dx^\mu + f(u) dx_4^2] + \left(\frac{u}{R}\right)^{-3/2} \left[\frac{du^2}{f(u)} + u^2 d\Omega_4^2 \right]$$

$$f(u) = 1 - \frac{u_0^3}{u^3} \quad e^\phi = g_s \left(\frac{u}{R}\right)^{3/4} \quad F_4 = \dots$$

- In IR: $\mathbb{R}^{1,3} \times \text{cigar}_{(u,x_4)} \times S^4$.
- $g_{00}(u_0) \neq 0$ (regular): **confinement**.
- Period of $x_4 \sim 1/M_{KK}$.
- In gravity regime **KK modes are NOT decoupled**.
- If x_0 compact: **theory at finite $T < T_c$** (period of $x_0 \sim 1/T$).

D4-branes wrapped on $S^1_{x_4}$, at low energy:
4d YM theory + (adjoint) KK modes [Witten 1998]

Dual gravity solution at $T > T_c$:

$$ds^2 = \left(\frac{u}{R}\right)^{3/2} \left[\tilde{f}(u) dx_0^2 + dx_a dx^a + dx_4^2 \right] + \left(\frac{u}{R}\right)^{-3/2} \left[\frac{du^2}{\tilde{f}(u)} + u^2 d\Omega_4^2 \right]$$

$$\tilde{f}(u) = 1 - \frac{u_T^3}{u^3} \quad e^\phi = g_s \left(\frac{u}{R}\right)^{3/4} \quad F_4 = \dots$$

- In IR: $\mathbb{R}^3 \times \text{cigar}_{(u, x_0)} \times S^1_{x_4} \times S^4$.
- $g_{00}(u_T) = 0$: deconfinement.
- Period of $x_0 \sim 1/T$.

Symmetry between solutions: $x_0 \leftrightarrow x_4$, $u_0 \leftrightarrow u_T$, $M_{KK} \leftrightarrow T$.

D4-branes wrapped on $S^1_{x_4}$, at low energy:
4d YM theory + (adjoint) KK modes [Witten 1998]

Difference of free energy densities (from on-shell actions):

$$\Delta f = - \left(\frac{2N_c^2 \lambda_4}{2187\pi^2 M_{KK}^2} \right) [(2\pi T)^6 - M_{KK}^6]$$

Notation: $\lambda_4 = g_{YM}^2 N_c = 2\pi g_s l_s M_{KK} N_c$.

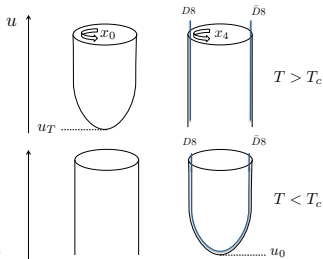
Critical temperature for deconfinement: $T_c = \frac{M_{KK}}{2\pi}$.

Probe $D8/\bar{D}8$ -branes at antipodal points on $S^1_{x_4}$:
massless (anti)quarks in the fundamental [Sakai-Sugimoto 2004]

- $T > T_c$: branes fall separately into horizon (χ SR)

- $T < T_c$: branes connect at tip of cigar (χ SB)

$$U(N_f) \times U(N_f) \rightarrow SU(N_f) \times U(1)_B$$



- Critical temperature for chiral symmetry restoration coincides with critical temperature for deconfinement T_c .

[Aharony-Sonnenschein-Yankielowicz 2007]

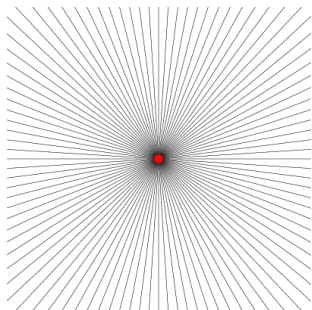
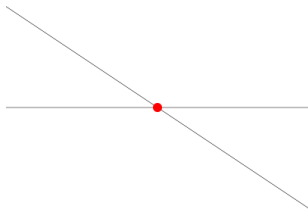
Dynamical quarks: backreaction of $D8/\bar{D}8$ -branes on Witten's background

- **Dynamical flavors** in holography: “flavor brane” **backreaction** (probe branes \sim quenched approximation).
- Localized $D8/\bar{D}8$ configuration: too difficult.
(PDEs: a limiting case in [Burrington-Kaplunovsky-Sonnenschein 2007]).
- Difficult technical problem (PDEs) made simpler by “**smearing technique**” (ODEs).

[Bigazzi-Casero-Cotrone-Kiritzis-Paredes 2005, Casero-Nunez-Paredes 2006]

Dynamical quarks: backreaction of $D8/\bar{D}8$ -branes on Witten's background

- **Smearing**: homogeneous distribution of flavor branes along transverse dimensions \Rightarrow recover symmetry.
- In dual field theory: $U(N_f) \rightarrow U(1)^{N_f}$.



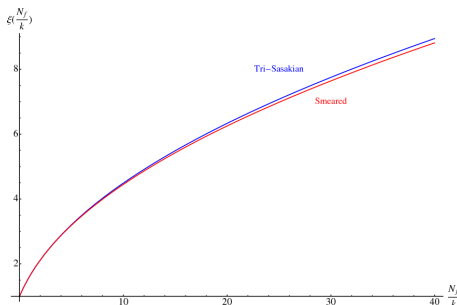
Picture from [Nunez-Paredes-Ramallo 2010]

Is it a good approximation?

E.g. Free energy F in flavored ABJM [Conde-Ramallo 2011]:

$$F(S^3) = \frac{\pi\sqrt{2}}{3} k^{1/2} N^{3/2} \xi \left(\frac{N_f}{k} \right)$$

Comparison of **smearing gravity** with **localized field theory** result:



Dynamical quarks: backreaction of $D8/\bar{D}8$ -branes on Witten's background

- Complete solution ($D8/\bar{D}8$ -branes uniformly distributed on $S^1_{x_4}$ s.t. $U(1)$ symmetry recovered): not yet.
(Still complicated second order non linear coupled equations).
- Smearred configuration at first order in $\frac{N_f}{N_c}$: analytic solutions!

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Confined phase ($T < T_c$)

- Metric:

$$ds^2 = e^{2\lambda}(-dt^2 + dx_a dx^a) + e^{2\tilde{\lambda}} dx_4^2 + l_s^2 e^{-4\phi + 8\lambda + 2\tilde{\lambda} + 8\nu} d\rho^2 + l_s^2 e^{2\nu} d\Omega_4^2$$

- Action:

$$2k_0^2 S = \int d^{10}x \sqrt{-g} \left[e^{-2\phi} (R + 4(\partial\phi)^2) - \frac{1}{2} |F_4|^2 \right] \\ - \frac{2k_0^2 N_f T_8 M_{KK}}{\pi} \int d^{10}x \frac{\sqrt{-(g + 2\pi\alpha' F)}}{\sqrt{g_{44}}} e^{-\phi} \quad \leftarrow \text{smearred DBI}$$

- Solution for brane gauge field: $A_t = \mu \Rightarrow F = 0$:
zero-density, finite-chemical potential (validity: small μ).

Confined phase ($T < T_c$)

Field expansion in N_f/N_c :

$$\Psi(\rho) = \Psi_{Witten}(\rho) + \epsilon_f \Psi_1(\rho) + \mathcal{O}(\epsilon_f^2)$$

where:

$$\epsilon_f \equiv \frac{1}{12\pi^3} \lambda_4^2 \frac{N_f}{N_c} \ll 1$$

Holographic QCD & chemical potential: results

(Horrible but) analytic solution (in $r \equiv \frac{u_0^3}{l_s^3 g_s^2} \rho$):

$$\lambda_1 = \frac{3}{8}f + y - \frac{1}{4}(A_2 - A_1) - \frac{1}{4}(B_2 - B_1)r$$

$$\tilde{\lambda}_1 = -\frac{1}{8}f + y - \frac{1}{4}(A_2 + B_2r) - \frac{3}{4}(A_1 + B_1r)$$

$$\phi_1 = \frac{11}{8}f + y - \frac{1}{4}(A_1 + B_1r) - \frac{3}{4}(A_2 + B_2r)$$

$$\nu_1 = \frac{11}{24}f + q$$

with:

$$f = \frac{4}{9}e^{-3r/2} {}_3F_2\left(\frac{1}{2}, \frac{1}{2}, \frac{13}{6}; \frac{3}{2}, \frac{3}{2}; e^{-3r}\right)$$

$$y = C_2 - \coth\left(\frac{3r}{2}\right) \left(C_1 + C_2\left(\frac{3r}{2} + 1\right)\right) + z$$

$$q = \frac{1}{12}(A_1 - 5A_2 + r(B_1 - 5B_2)) + \frac{5}{3}z - \coth\left(\frac{3r}{2}\right) (M_1 + M_2(3r + 2)) + 2M_2$$

$$z = -\frac{e^{-9r/2} (e^{-3r} + 1) \left(9e^{3r} {}_3F_2\left(\frac{1}{2}, \frac{1}{2}, \frac{19}{6}; \frac{3}{2}, \frac{3}{2}; e^{-3r}\right) + 3F_2\left(\frac{3}{2}, \frac{3}{2}, \frac{19}{6}; \frac{5}{2}, \frac{5}{2}; e^{-3r}\right)\right)}{162(1 - e^{-3r})}$$

$$-\frac{8e^{-3r/2} (10e^{-3r} + 3) {}_2F_1\left(\frac{1}{6}, \frac{1}{2}; \frac{3}{2}; e^{-3r}\right)}{819(1 - e^{-3r})} + \frac{e^{-15r/2} (38e^{3r} + 8e^{6r} - 40)}{273(1 - e^{-3r})^{13/6}}$$

Features

- **IR regular** (Ricci and Kretschmann scalars, with $B_1 = 6C_2, B_2 = 0, M_2 = \frac{C_2}{6}$).
- **UV divergent** (for whatever choice of integration constants): **Landau pole**.
 - Compact radial variable: $x = e^{-3r/2} \rightarrow$ IR: $x \sim 0$, UV: $x \sim 1$.
 - In UV:

$$\frac{1}{g_{YM,x}^2} \approx \frac{1}{g_{YM}^2} \left[1 - \frac{3}{7} \epsilon_f \frac{2^{5/6}}{(1-x)^{1/6}} \right] \quad \Rightarrow \quad x_{LP} = 1 - 2^5 (3/7)^6 \epsilon_f^6$$

- Physics reliable at $x \ll x_{LP} \sim 1$.

Examples of observables

- From fundamental string: string tension

$$T_s = \sqrt{g_{00}g_{11}}|_{x=0} = \frac{2}{27\pi} \lambda_4 M_{KK}^2 [1 + 1.13\epsilon_f]$$

- From wrapped $D4$: baryon (vertex) mass

$$m_B = \frac{1}{27\pi} \lambda_4 N_c M_{KK} [1 + 0.95\epsilon_f]$$

- From fluctuation of $D8$ gauge fields: vector meson masses
($\epsilon_f = 0.02$, "naive comparison")

$\frac{m_{\rho(1450)}^2}{m_{\rho}^2} \sim 3.7$	Without flavors : $\frac{m_{\rho(1450)}^2}{m_{\rho}^2} \sim 4.3$	Experiment : $\frac{m_{\rho(1450)}^2}{m_{\rho}^2} \sim 3.5$
$\frac{m_{a_1(1260)}^2}{m_{\rho}^2} \sim 2.37$	Without flavors : $\frac{m_{a_1(1260)}^2}{m_{\rho}^2} \sim 2.39$	Experiment : $\frac{m_{a_1(1260)}^2}{m_{\rho}^2} \sim 2.51$

Holographic renormalization

Divergent on-shell action $\Rightarrow S_E^{ren} = (S_E + S_{GH}) + S_{c.t.}^{D4} + S_{c.t.}^{D8}$

- Standard counter-term for $D4$ -branes [Mateos-Myers-Thomson 2007]:

$$S_{c.t.}^{D4} = \frac{g_s^{1/3}}{k_0^2 R} \int d^9x \sqrt{h} \frac{5}{2} e^{-\frac{7}{3}\phi}$$

- For $D8$ -branes no existing covariant counter-terms!

Holographic renormalization

Strategy:

- Go to dual frame: $d\tilde{s}^2 \sim e^{-\frac{2}{3}\phi} ds^2$ [Kanitscheider-Skenderis-Taylor 2008].
- Reduce on $S^4 \Rightarrow$ metric is asymptotically AdS .
- Use standard AdS counter-terms: volume + GH (written in 8d)

$$\tilde{S}_{c.t.}^{D8} \sim \int d^8x \sqrt{\tilde{h}_8} e^{2\phi} [1 - 2\alpha \tilde{K}_9]$$

- Bring back to original frame (probe case):

$$S_{c.t.}^{D8} = -2N_f T_8 \int d^8x \sqrt{h_8} \left[\frac{16}{7} \frac{R}{g_s^{1/3}} e^{-2\phi/3} - \frac{R^2}{g_s^{2/3}} e^{-\phi/3} \left(K_9 - \frac{8}{3} n \cdot \nabla \phi \right) \right]$$

(In backreacted case numeric coeffs. are different).

Features: **covariant (good!)**, non local (bad?).

Free energy density

From renormalized on-shell action: **free energy density**

$$f = -p = -\frac{2N_c^2 \lambda_4}{3^7 \pi^2} M_{KK}^4 \left[1 - \frac{4 \lambda_4^2 N_f}{7 \pi^3 N_c} \frac{\pi^{3/2}}{\Gamma(-\frac{2}{3}) \Gamma(\frac{1}{6})} \right]$$

T -independent \Rightarrow **zero entropy** ($\mathcal{O}(1)$: confining phase).

Deconfined phase ($T > T_c$)

- Metric:

$$ds^2 = -e^{2\tilde{\lambda}} dt^2 + e^{2\lambda} dx_a dx^a + e^{2\lambda_s} dx_4^2 + l_s^2 e^{-4\phi + 6\lambda + 2\tilde{\lambda} + 2\lambda_s + 8\nu} d\rho^2 + \dots$$

- Solution for brane gauge field “complicated”

⇒ **small charge (“ q ”) expansion**

⇒ “simple” brane gauge field $A_t \sim q(1 - \sqrt{1 - e^{-3r}})$.

- Fields expanded in $\epsilon_f T = \frac{\lambda_4^2}{12\pi^3} \frac{2\pi T}{M_{KK}} \frac{N_f}{N_c} \ll 1$ and $q^2 \ll 1$.
- Another (horrible but) **analytic solution** (let's skip it).
- Features:
 - Horizon in IR.
 - Landau pole in UV.

Holographic renormalization

Divergent on-shell action $\Rightarrow S_E^{ren} = (S_E + S_{GH}) + S_{c.t.}^{D4} + S_{c.t.}^{D8}$.

- Sub-leading divergence from $D8$ -branes does not match with $T < T_c$ one \Rightarrow cannot use background subtraction!
- Have to add the counter-term (probe case):

$$S_{c.t.}^{D8} = 2N_f T_8 \int d^8x \sqrt{h_8} \left[\frac{2}{7} \frac{R}{g_s^{1/3}} e^{-2\phi/3} - \frac{2}{7} \frac{R^2}{g_s^{2/3}} e^{-\phi/3} \left(K_9 - \frac{8}{3} n \cdot \nabla \phi \right) \right]$$

(In backreacted case numeric coeffs. are different).

Thermodynamics

- From asymptotics of $A_t \Rightarrow$ **chemical potential**

$$\mu = \frac{8\pi}{27} q \lambda_4 \frac{T^2}{M_{KK}} \quad \text{Note : } q \sim T^{-2} \text{ (fixed } \mu)$$

- From electric displacement $\frac{\delta \mathcal{L}}{\delta F_{t\hat{\rho}}} \Rightarrow$ **quark number density**

$$n_q = \frac{32\pi}{729} q N_c N_f \lambda_4^2 \frac{T^5}{M_{KK}^2} \quad \text{Note : } q \sim T^{-5} \text{ (fixed } n_q)$$

- From area of horizon \Rightarrow **entropy density**

$$s = \frac{256 N_c^2 \pi^4 \lambda_4}{729 M_{KK}^2} T^5 \left[1 + \frac{2}{3} \epsilon_f T \left(1 + \frac{q^2}{2} \right) \right]$$

- From ADM energy \Rightarrow **energy density**

$$\epsilon = \frac{5}{6} \left(\frac{256 N_c^2 \pi^4 \lambda_4}{729 M_{KK}^2} \right) T^6 \left[1 + \frac{24}{35} \epsilon_f T \left(1 + \frac{7}{9} q^2 \right) \right]$$

Thermodynamics

- From on-shell action \Rightarrow **Gibbs free energy density** (grand canonical ensemble)

$$\omega = -p = -\frac{1}{6} \left(\frac{256 N_c^2 \pi^4 \lambda_4}{729 M_{KK}^2} \right) T^6 \left[1 + \frac{4}{7} \epsilon_{fT} \left(1 + \frac{7}{6} q^2 \right) \right]$$

- From $\varepsilon = Ts + f \Rightarrow$ **Helmholtz free energy density** (canonical ensemble)

$$f = -\frac{1}{6} \left(\frac{256 N_c^2 \pi^4 \lambda_4}{729 M_{KK}^2} \right) T^6 \left[1 + \frac{4}{7} \epsilon_{fT} \left(1 - \frac{7}{6} q^2 \right) \right]$$

- From $\left(\frac{\partial \varepsilon}{\partial T} \right)_{V,n} \Rightarrow$ **heat capacity at fixed quark density**

$$c_{V,n} = 5 \left(\frac{256 N_c^2 \pi^4 \lambda_4}{729 M_{KK}^2} \right) T^5 \left[1 + \frac{4}{5} \epsilon_{fT} \left(1 - \frac{1}{3} q^2 \right) \right]$$

Thermodynamics

- From $\left(\frac{\partial \varepsilon}{\partial T}\right)_{V,\mu} \Rightarrow$ **heat capacity at fixed chemical potential**

$$c_{V,\mu} = 5 \left(\frac{256 N_c^2 \pi^4 \lambda_4}{729 M_{KK}^2} \right) T^5 \left[1 + \frac{4}{5} \epsilon_f T \left(1 + \frac{1}{3} q^2 \right) \right]$$

- From $\frac{s}{c_{V,\mu}} \Rightarrow$ **speed of sound (squared)**

$$c_s^2 = \frac{1}{5} \left[1 - \frac{2}{15} \epsilon_f T \left(1 - \frac{1}{2} q^2 \right) \right]$$

- From $\varepsilon - 3p \Rightarrow$ **interaction energy**

$$IE = \frac{1}{3} \left(\frac{256 N_c^2 \pi^4 \lambda_4}{729 M_{KK}^2} \right) T^6 \left[1 + \frac{6}{7} \epsilon_f T \left(1 + \frac{7}{18} q^2 \right) \right]$$

Thermodynamics

Consistency checks:

- $s = -(\partial f / \partial T)$ (considering T -dependence of $\epsilon_f T$ and q in canonical ensemble)
- $s = -(\partial \omega / \partial T)$ (considering T -dependence of $\epsilon_f T$ and q in grand canonical ensemble)
- $\omega = f - \mu n_q$
- Ok with probe approximation.

The critical temperature

- From $p_{conf}(T_c) = p_{deconf}(T_c)$:

$$\frac{2\pi T_c}{M_{KK}} = 1 - \frac{1}{126\pi^3} \lambda^2 \frac{N_f}{N_c} \left(1 + \frac{12\pi^{3/2}}{\Gamma(-\frac{2}{3}) \Gamma(\frac{1}{6})} \right) - \frac{27}{16\pi} \frac{N_f}{N_c} \frac{\mu^2}{M_{KK}^2}$$

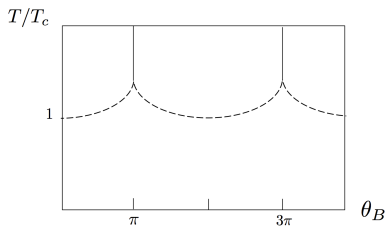
Note: N_f -behavior is comparison scheme dependent.

- At imaginary baryon chemical potential μ_B ($\theta_B = \mu_B/T_c(0)$):

$$\frac{T_c}{T_c(\theta_B = 0)} = 1 + \frac{27}{64\pi^3} N_f N_c \frac{\theta_B^2}{N_c^2}$$

Also in [Rafferty 2011], where it is shown that free energy has first order discontinuities at $\theta_B = (2k + 1)\pi$.

Phase diagram:



Qualitatively the same as lattice QCD

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Conclusions and perspectives

Done:

- Derived gravity solutions dual to Witten-Sakai-Sugimoto model with dynamical flavors, at first order in N_f/N_c and q^2 , in confined and deconfined phases.
- Studied a few observables (e.g. string tension, hadron masses, etc.).
- Analyzed phase diagram at finite imaginary chemical potential ([Rafferty 2011]).
- Phase diagram of holographic model observed to be in qualitative agreement with lattice QCD.
- Introduced covariant (non-local) counterterms for $D8$ -branes.

Conclusions and perspectives

To do (among others):

- Fields/operators dictionary.
- Holographic renormalization.
- Study of other observables (e.g. probe energy loss, entanglement entropy).
- Go beyond leading orders in ϵ_f, q^2 .